

The physics and demography of supermassive black holes

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Outline

The role of supermassive black holes in galaxy evolution

- Ubiquity and importance of SMBHs
- Dormant and active SMBHs
- Feedback

How does a BH grow?

- Accretion and Active Galactic Nuclei
- The central engine

AGN demography

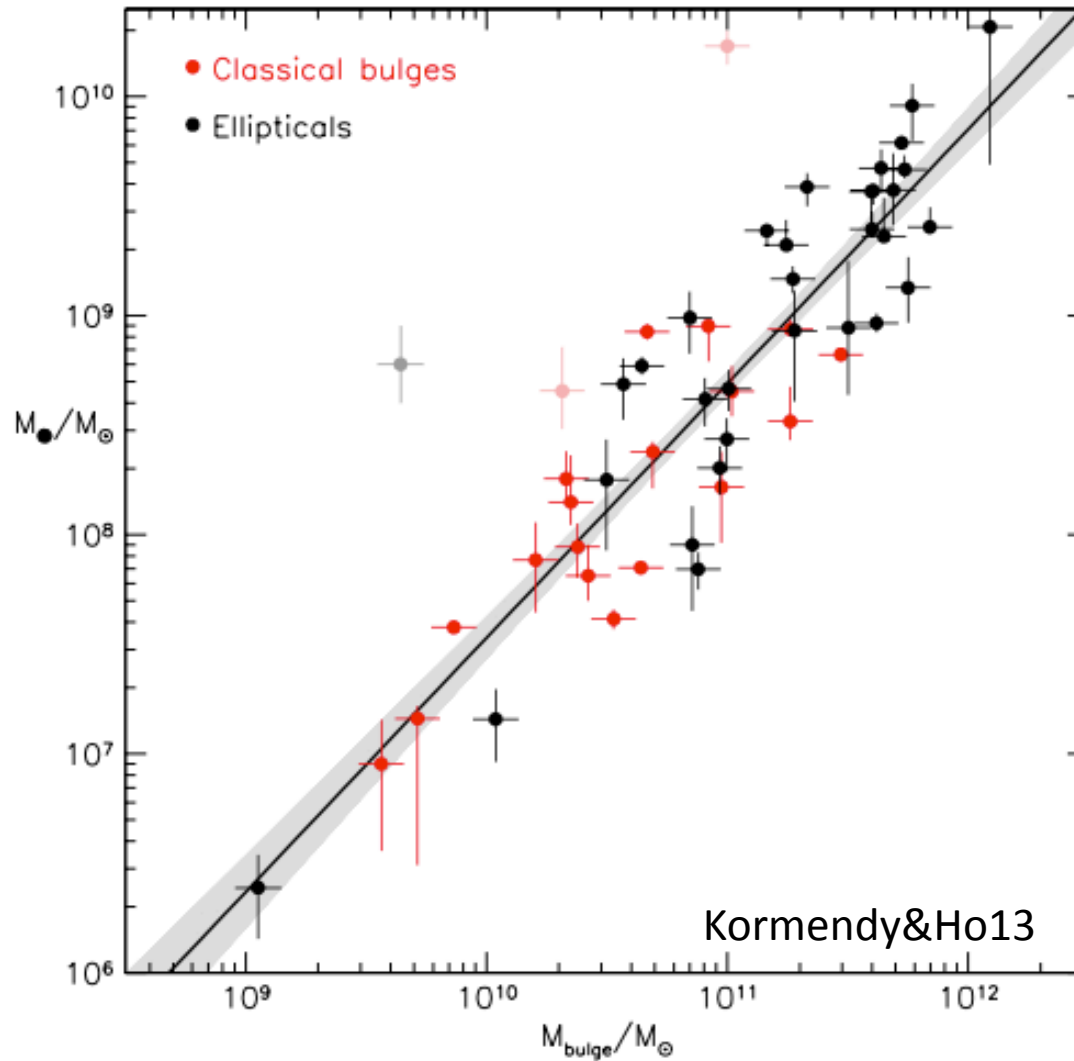
- Obscured and unobscured AGN, the unified model
- Cosmic evolution
- The X-ray background

The first black holes

- Demography of high- z QSOs
- How do early BHs form?

Ubiquity and importance of SMBHs

Fundamental scaling relations observed in the local Universe between M_{BH} and structural properties of the host galaxy (e.g. bulge L, σ , M_*)



$$M_{\text{BH}} \sim 10^6 - 10^{10} M_{\text{sun}}$$

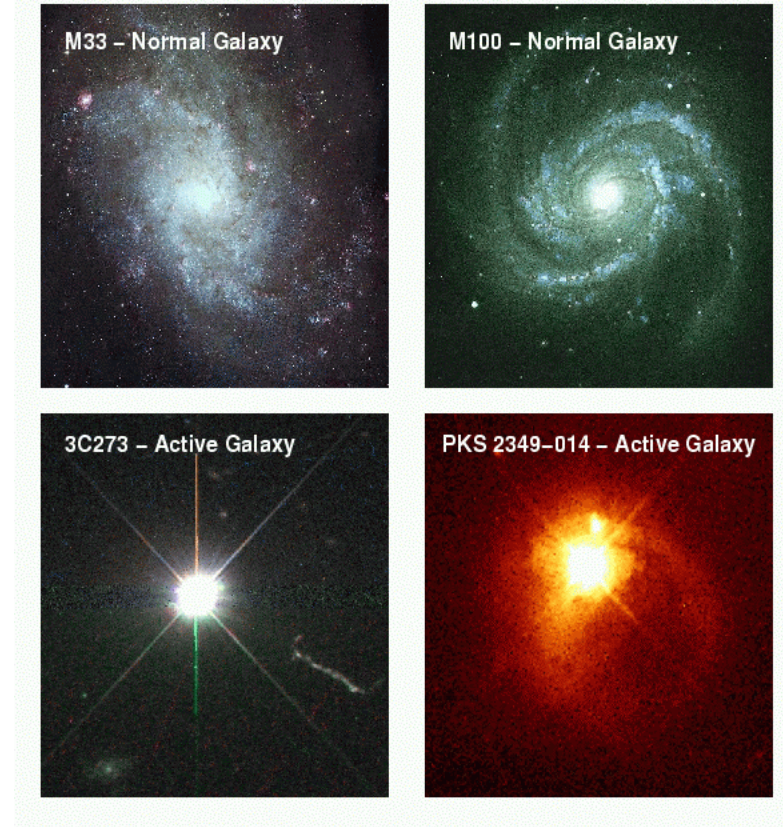
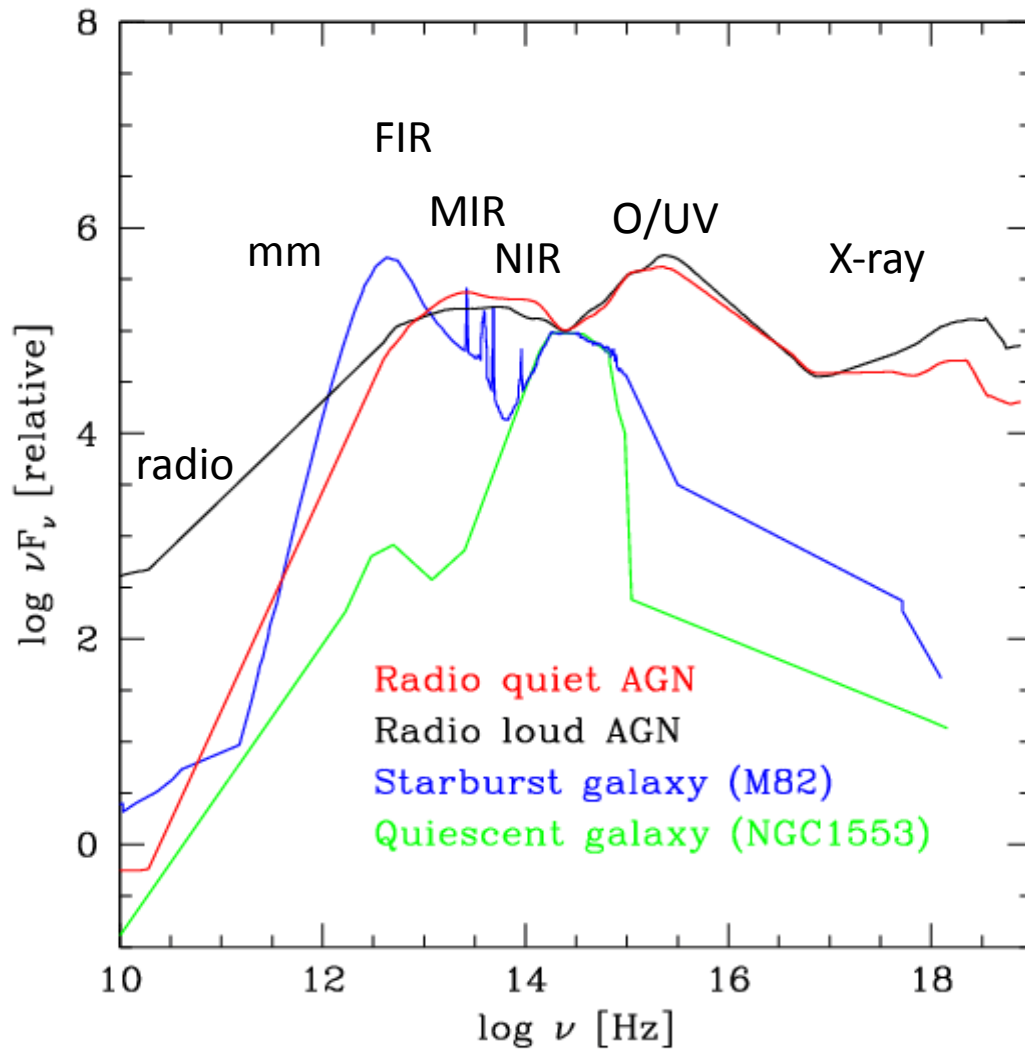
$$M_* \sim 200 - 500 M_{\text{BH}}$$

Ferrarese&Merritt00
Marconi&Hunt03
Sani+11

....

Kormendy&Ho13

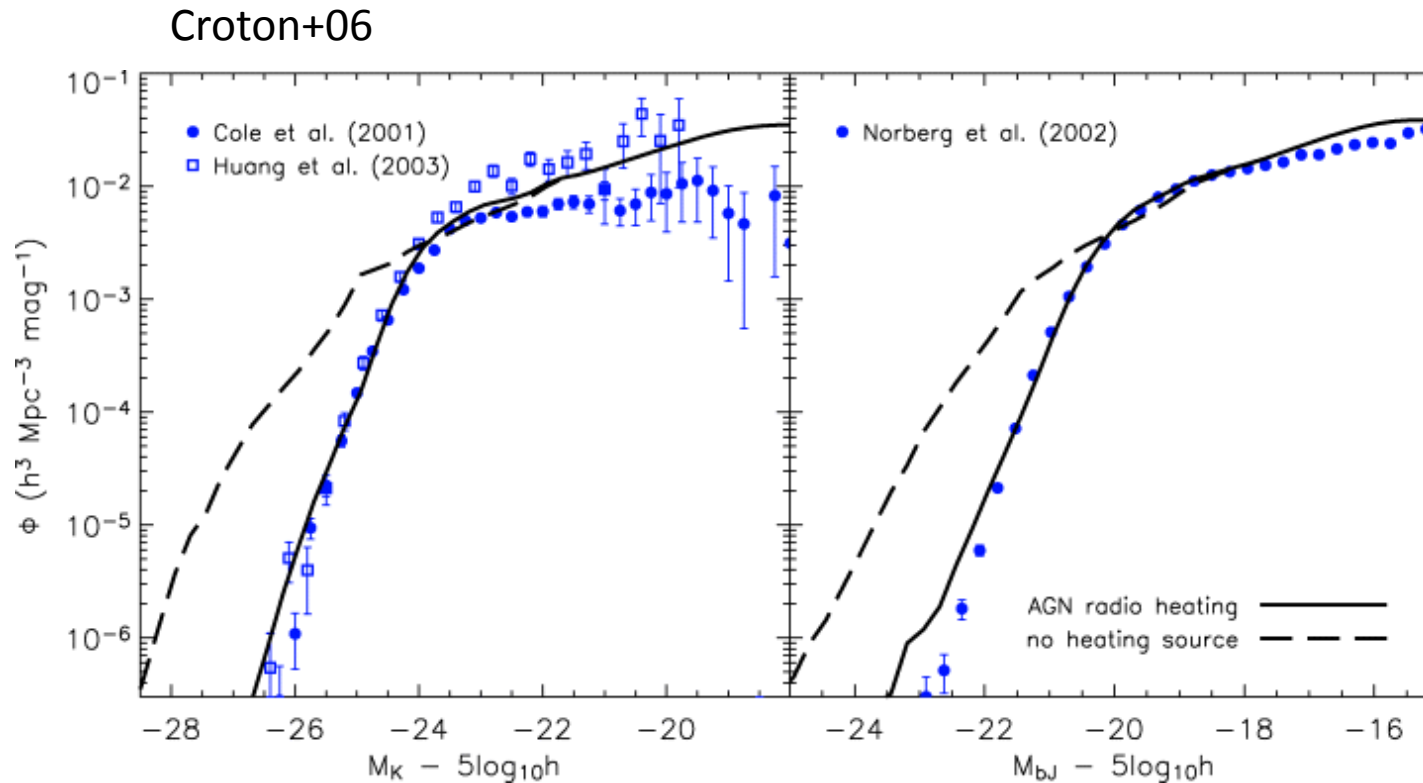
Dormant and active SMBHs (Active Galactic Nuclei, AGN)



about 1-10% of galaxies are AGN

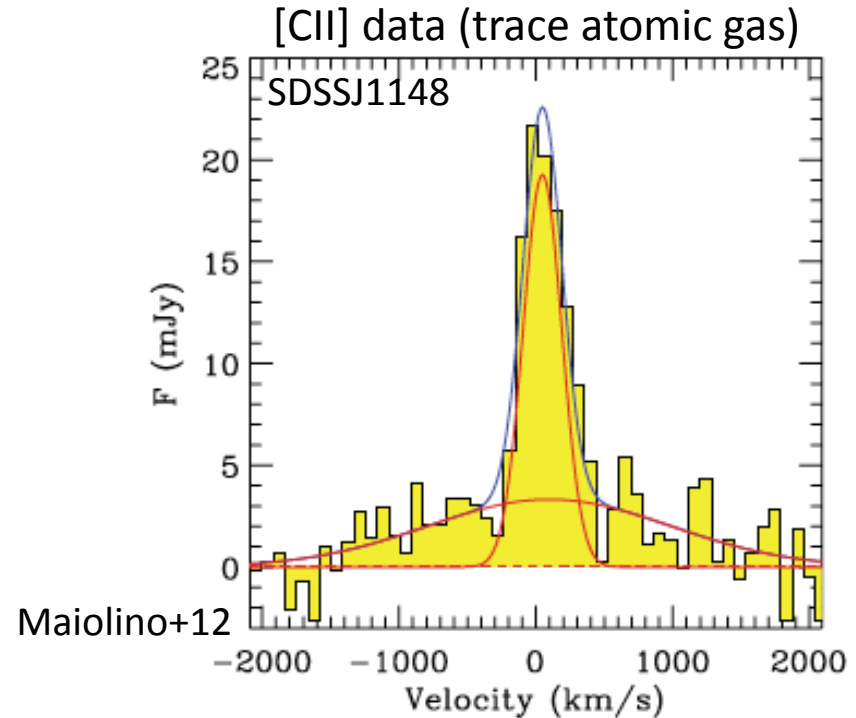
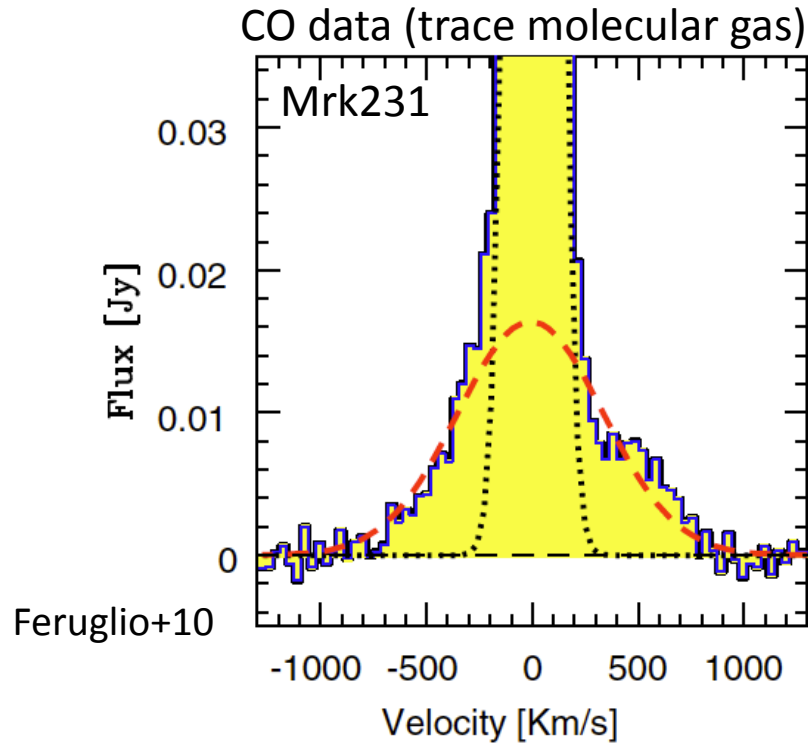
$$t_{\text{AGN}} \sim 10^{7-9} \text{ yr}$$

Importance of AGN for galaxy evolution

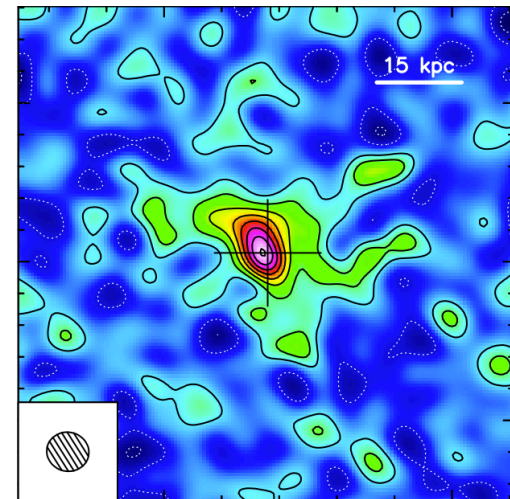


AGN feedback (radiative, kinetic) introduced [ad hoc](#) by semi-analytic models to expel or heat the gas, halt star formation and hence prevent the assembly of (too many) massive galaxies

Observational evidences of AGN feedback



Cicone+14



Molecular and atomic gas outflows

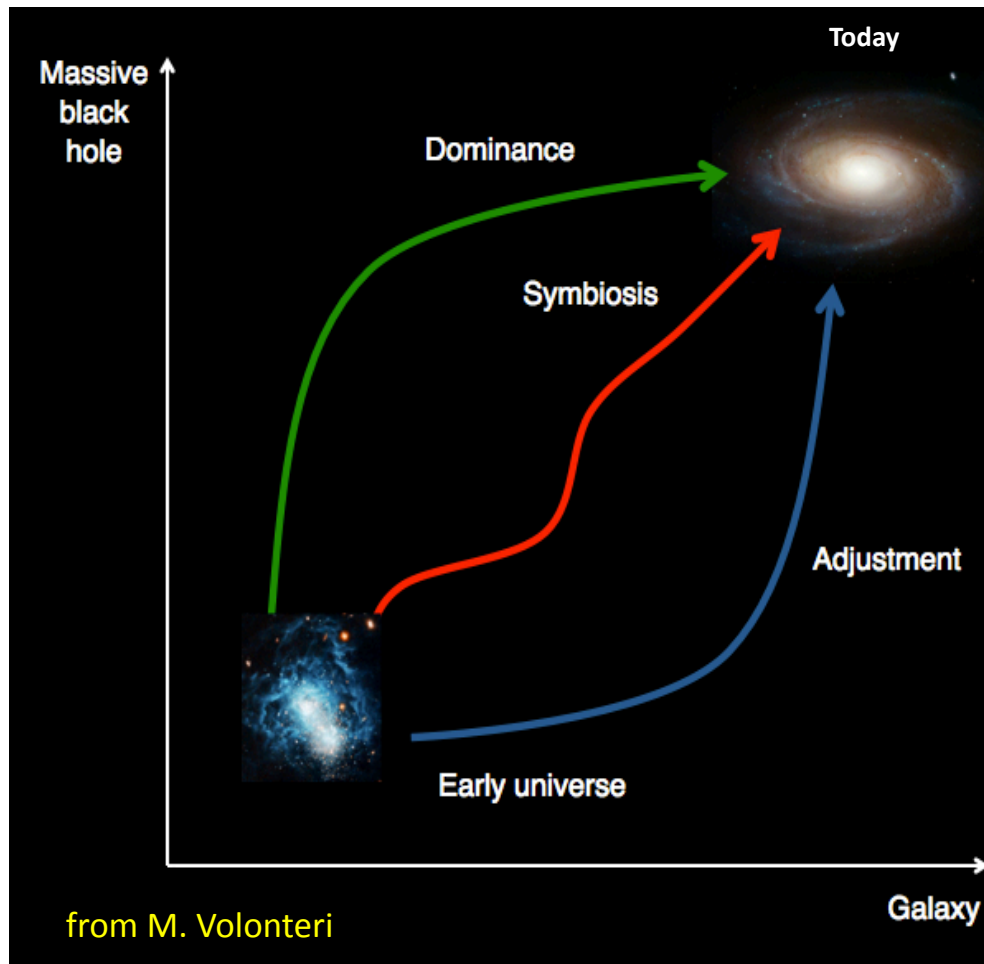
Outflow rates $\dot{m}_{\text{out}} > 10^{2-3} M_{\text{sun}}/\text{yr}$ ($\dot{m}_{\text{out}} > \text{SFR}$)

$M_{\text{gas}} = 10^{9-10} M_{\text{sun}}$

Gas depleted, i.e. SF shut in $t = M_{\text{gas}}/\dot{m}_{\text{out}} = 10^{6-8} \text{ yr}$

→ SMBH activity **may** influence the whole host galaxy

BH/galaxy coevolution



Key open questions

When and where BHs form?

Do they form only at early times?

Do they anticipate galaxy formation?

What is their origin (seeds)?

How does a SMBH grow?

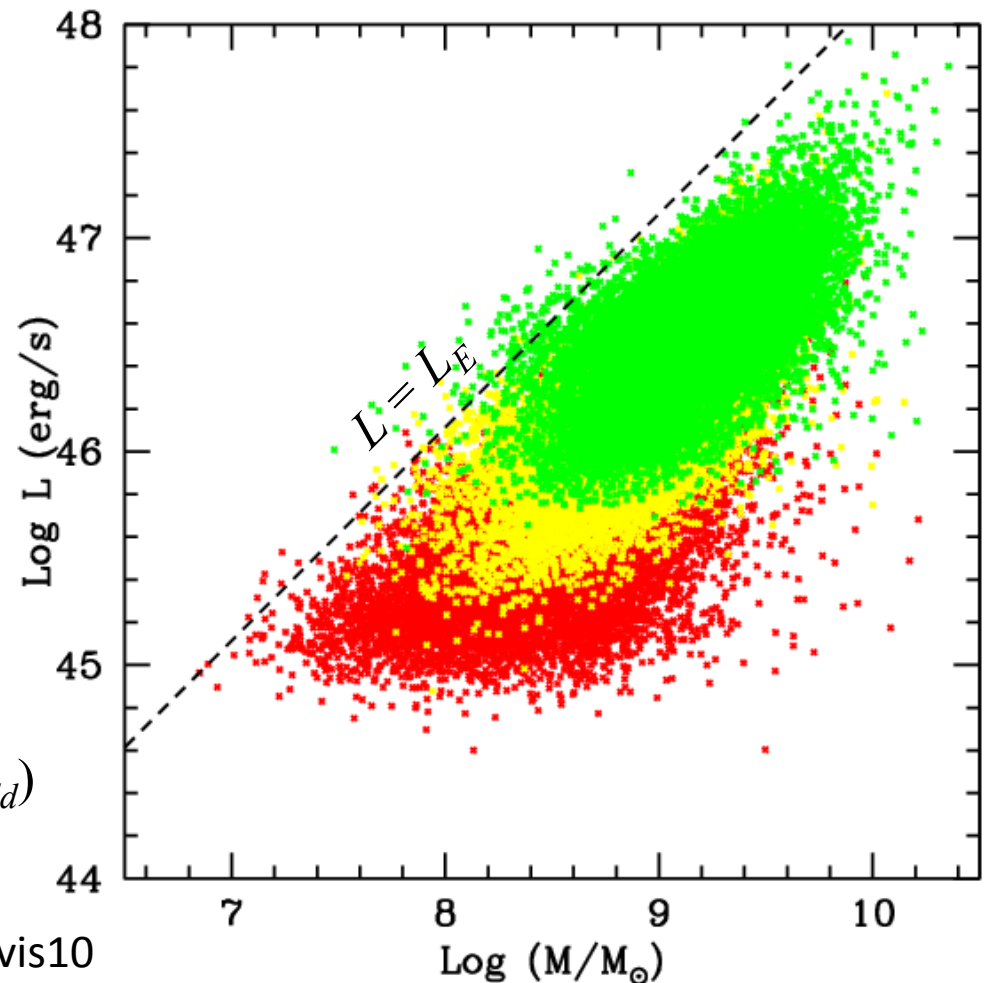
accretion → Active Galactic Nucleus phase

Eddington limit: $F_{\text{rad}} \leq F_{\text{grav}}$. It assumes spherical symmetry and pure HII gas
(still robust limit)

$$L_E = \frac{4\pi G m_p c^2 M}{\sigma_{TC}} = \frac{Mc^2}{t_E}$$
$$= 1.26 \times 10^{46} \frac{M}{10^8 M_\odot} \text{ erg/s}$$

$$t_E = \frac{\sigma_{TC}}{4\pi G m_p} = 0.45 \text{ Gyr}$$

$$\lambda \equiv L_{\text{bol}}/L_E \quad (= \text{Eddington ratio } f_{\text{Edd}})$$



Steinhardt&Elvis10

How does a SMBH grow?

$$L_{bol} = \epsilon \dot{m}_{acc} c^2$$

ϵ = radiative efficiency

Neglecting GR effects:

$$L \sim dU/dt = GM\dot{m}_{acc}/r$$

For $r = 5R_s$:

($R_s = 2GM/c^2 = 2 \text{ AU}$ for $M = 10^8 M_{sun}$)

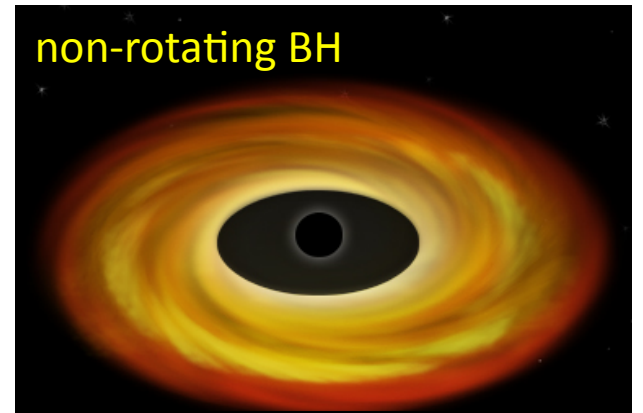
$$L \sim 0.1 \dot{m}_{acc} c^2, \text{ i.e. } \epsilon \sim 0.1$$

$$\dot{m}_{acc} = \frac{\lambda L_E}{\epsilon c^2} = \frac{\lambda M}{\epsilon t_E}$$

$$= 2.2 M_{sun}/\text{yr} \text{ for } M = 10^8 M_{sun}, \lambda = 1 \text{ and } \epsilon = 0.1$$

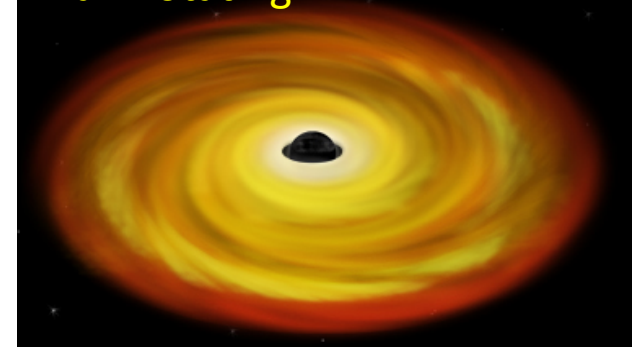
Accretion disk

non-rotating BH



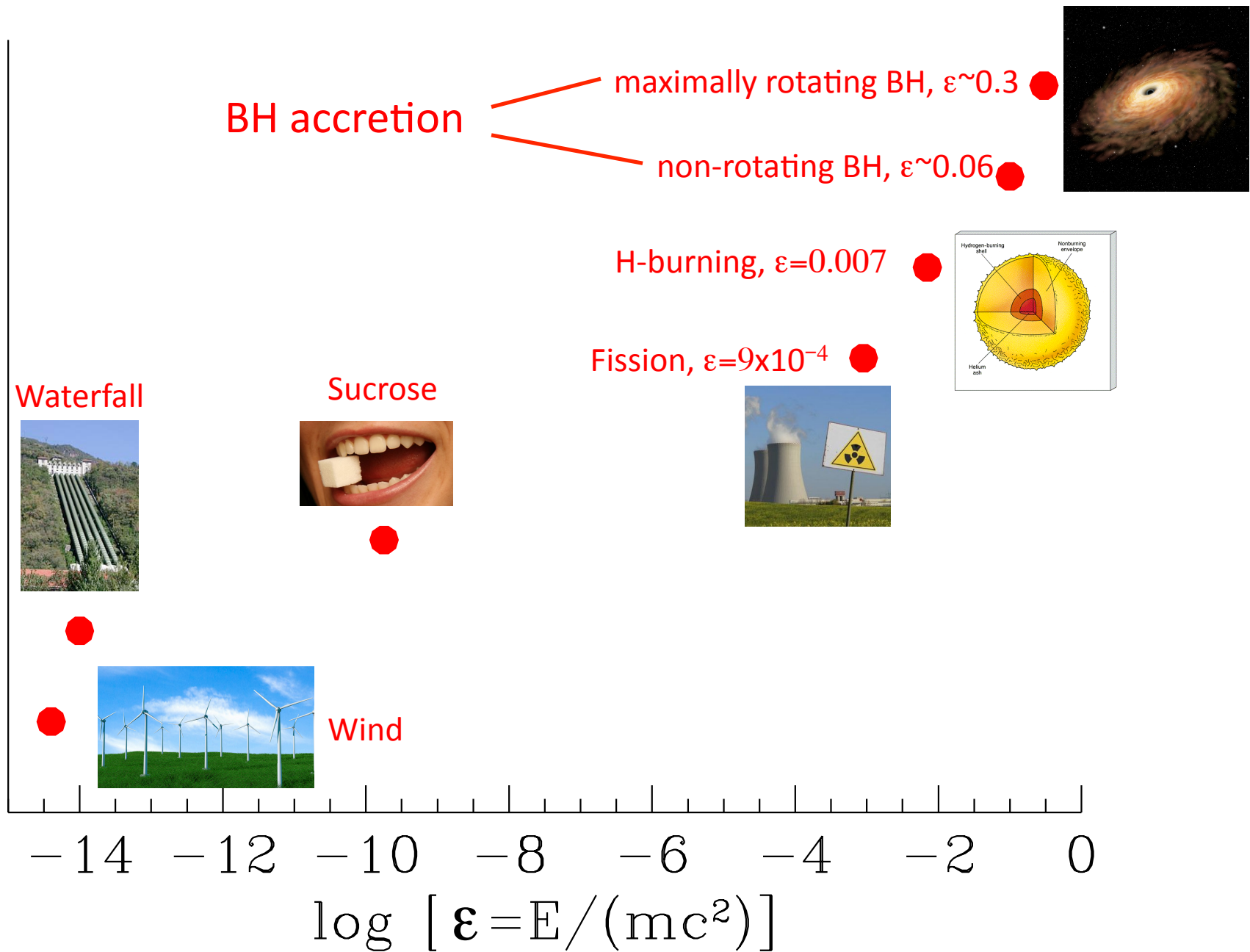
$$\epsilon \sim 0.06$$

max. rotating BH



$$\epsilon \sim 0.3$$

Mechanism



How does a SMBH grow?

$$\dot{M} = (1 - \epsilon)\dot{m}_{acc} = \frac{(1 - \epsilon)\lambda M}{\epsilon t_E}$$

rate of change of a quantity propto the quantity itself → exponential growth (like money – or debts... - on your bank account)

$$M(t) = M_0 e^{t/t_{Sal}}$$

$$t_{Sal} = \frac{\epsilon t_E}{1 - \epsilon \lambda} \quad (= 50 \text{ Myr for } \epsilon = 0.1 \text{ and } \lambda = 1)$$



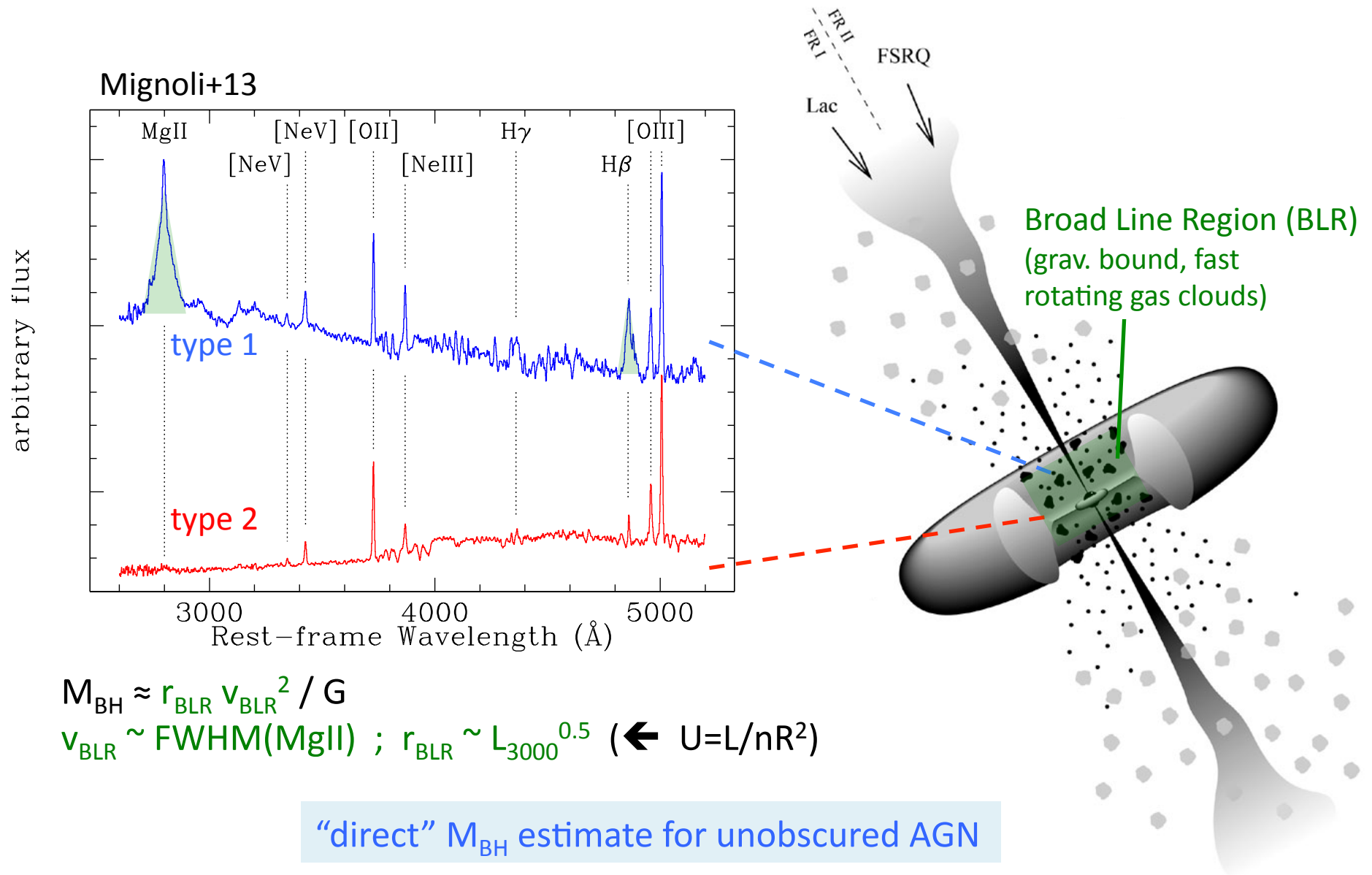
it takes 16 (9) Salpeter times , i.e. 0.8 (0.5) Gyr to grow a $10^9 M_{\text{sun}}$ BH starting from 10^2 (10^5) M_{sun}

Initial BH mass, i.e. the BH seed, the big unknown!

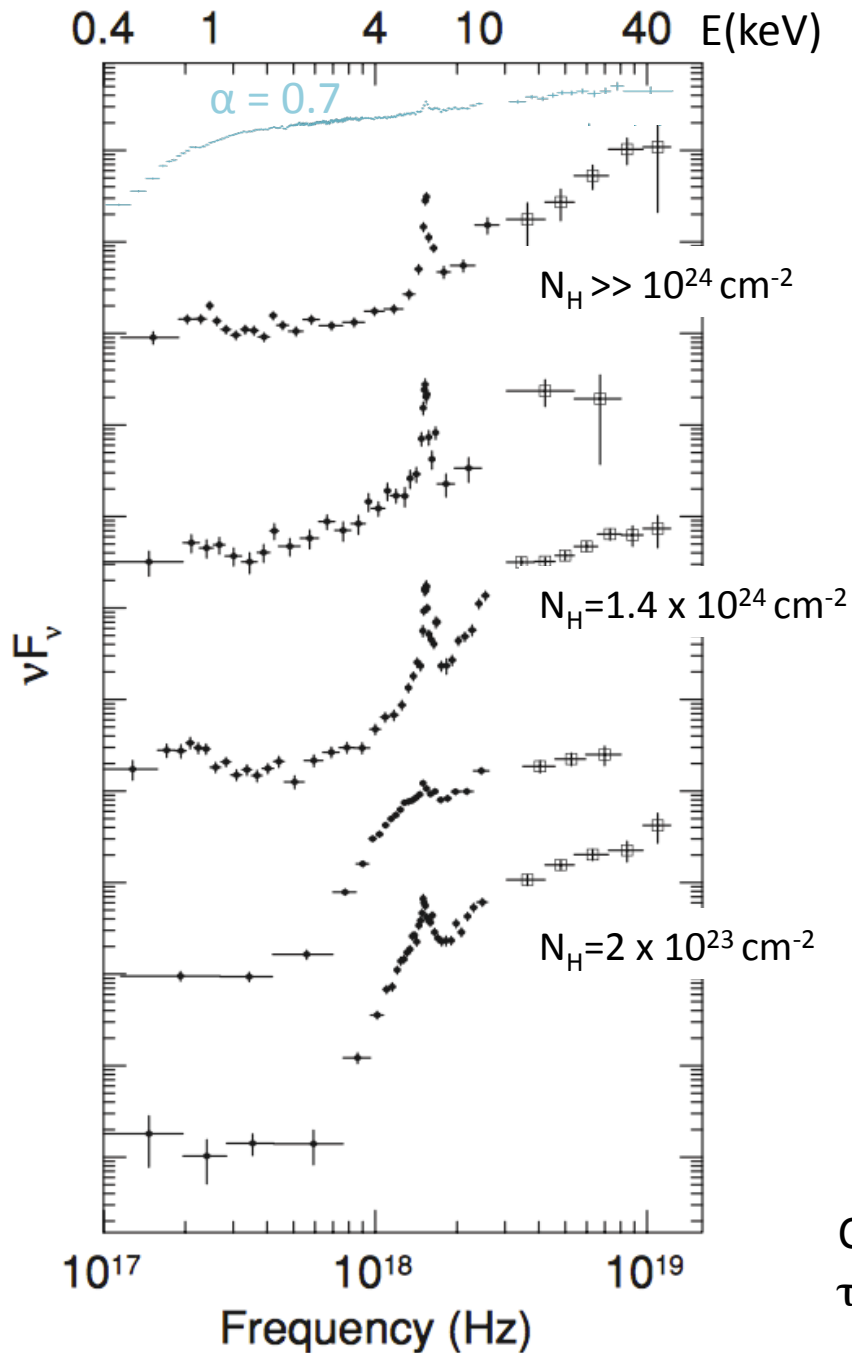
$$L_{bol}(t) = \lambda L_E = \lambda \frac{M(t)c^2}{t_E} = L_0 e^{t/t_{Sal}}$$

$$L_0 = \frac{\lambda c^2 M_0}{t_E}$$

AGN types: (type 1) unobscured vs (type 2) obscured



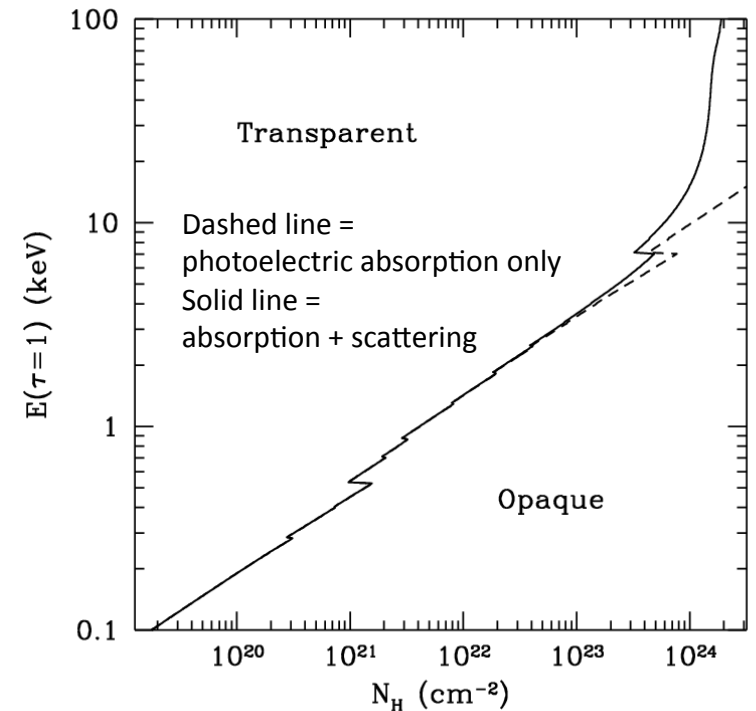
Unobscured vs obscured AGN



$$I_u(E) \approx E^{-\alpha} \quad \alpha = 0.7 - 1.0$$

$$I_o(E) \approx I_u(E) e^{-\tau}$$

$$\tau = N_H \sigma$$

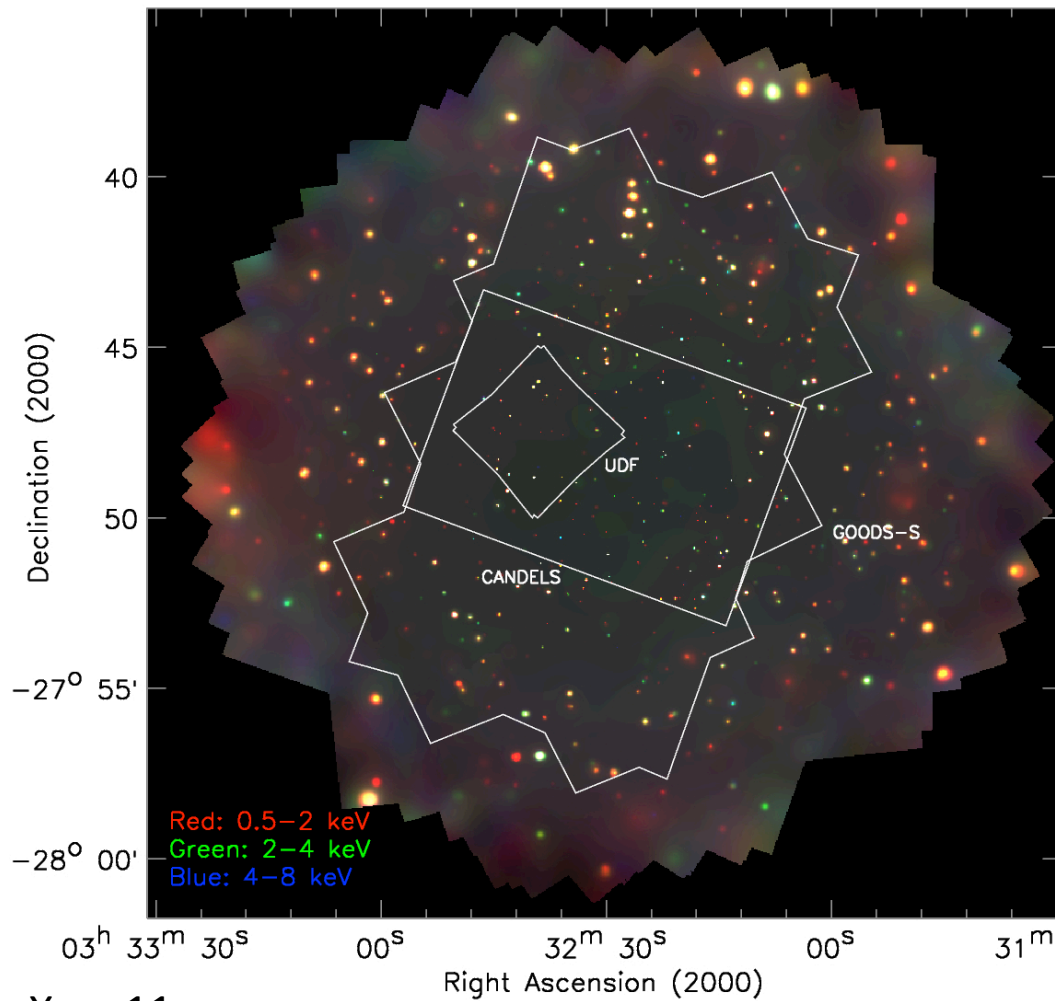


Compton -thick AGN:

$$\tau_C = N_H \sigma_T > 1 \quad \rightarrow \quad N_H > 1/\sigma_T = 1.5 \times 10^{24} \text{ cm}^{-2}$$

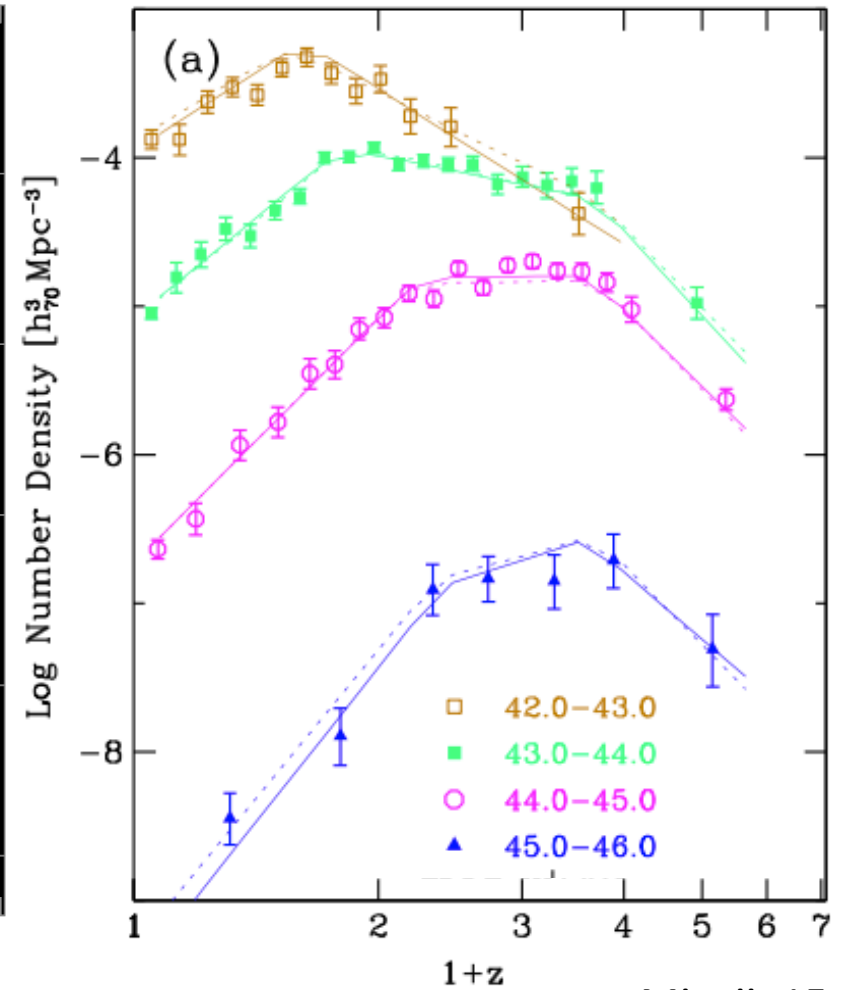
Deep X-ray surveys and AGN evolution

The deepest X-ray image of the Universe:
the 4Ms (1.5 months) Chandra Deep Field South
(will reach 7Ms by Dec 2015)



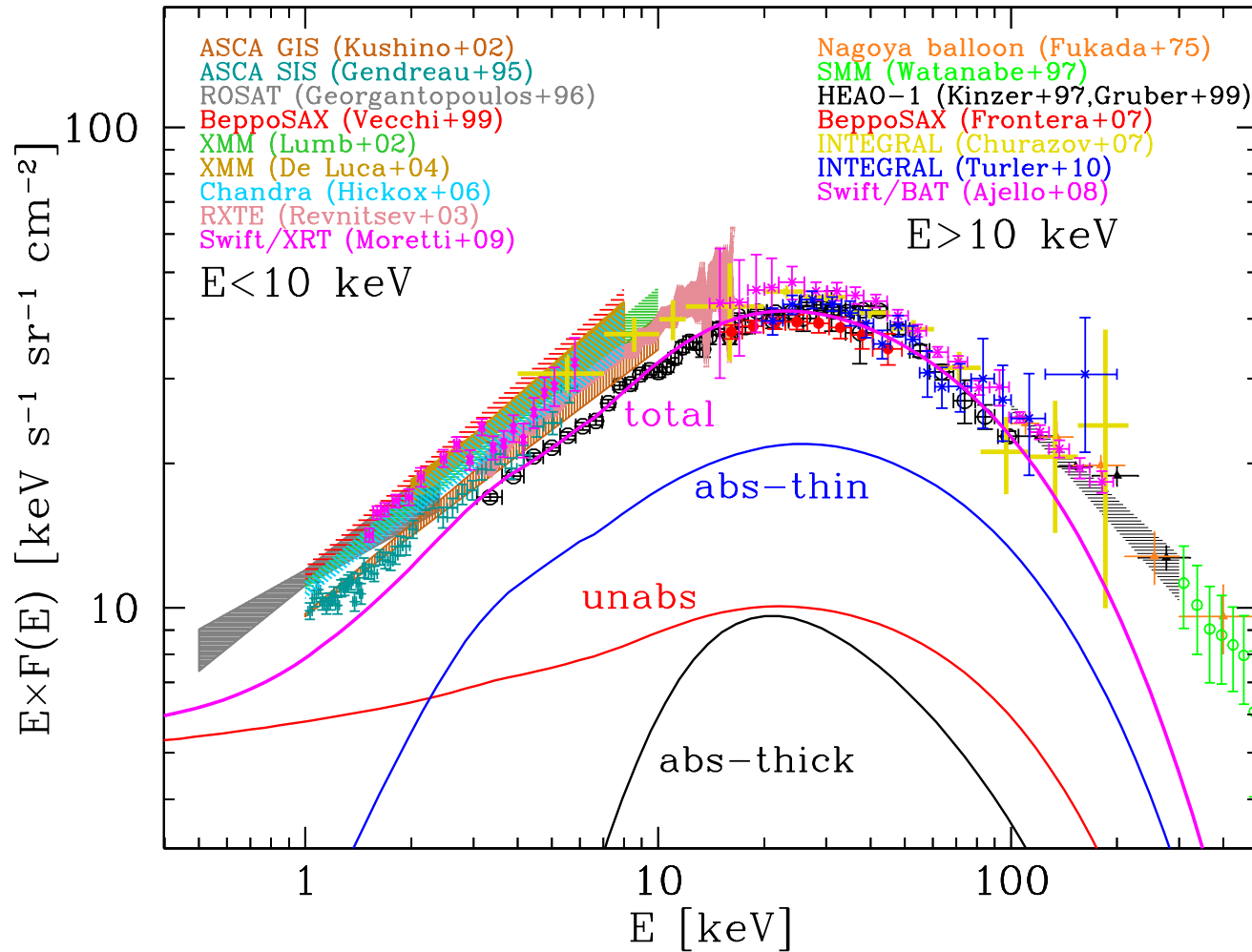
Xue+11

Space density of Compton-thin AGN



Miyaji+15

The cosmic X-ray background

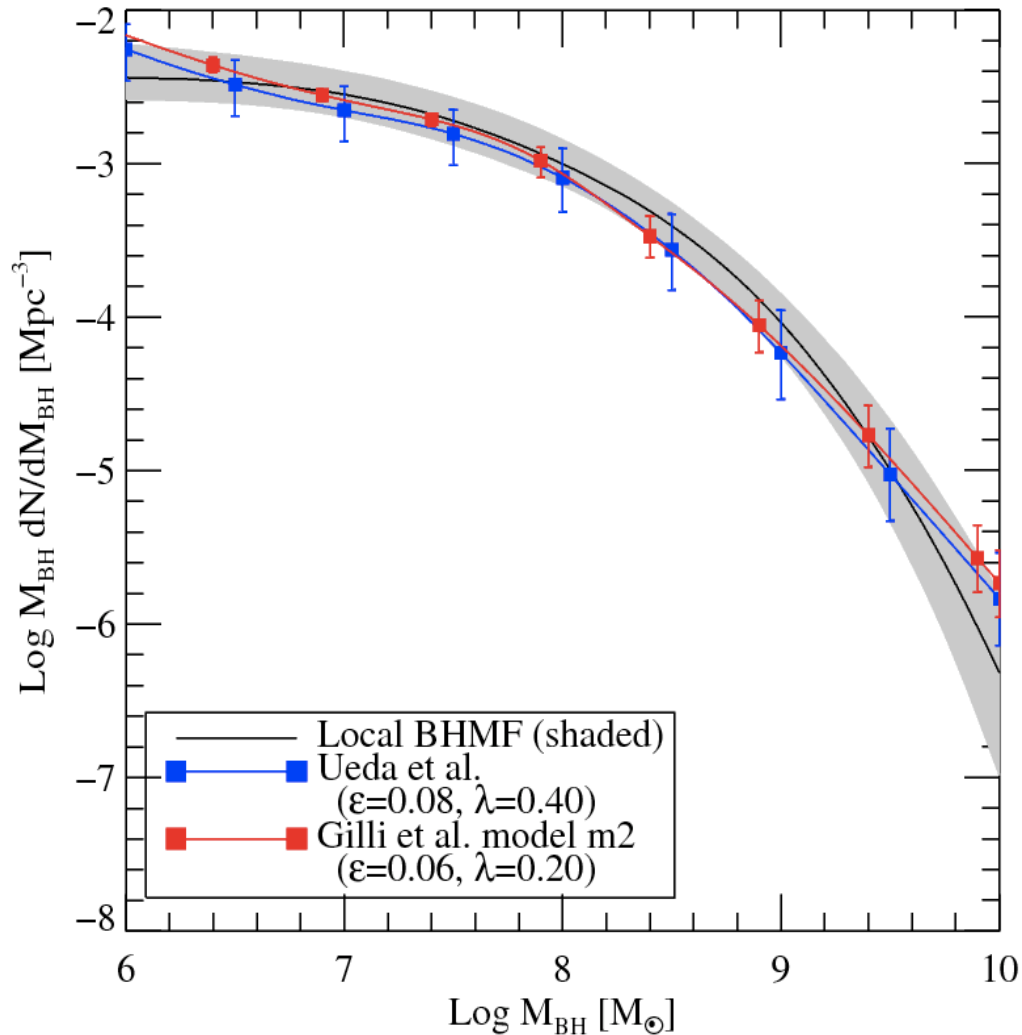


$N_{\text{abs-thin}} \sim N_{\text{abs-thick}} \sim 3 \times N_{\text{unabs}} \rightarrow$

80-90% of SMBHs accretion is obscured; 40% is heavily (Compton-thick) obscured

The local SMBH mass function

relic (accreted through AGN phases) vs measured local BH mass function



Marconi+04, Shankar+04, Merloni&Heinz08, ...

$\dot{M}_{\text{BH}} = [(1 - \epsilon)/\epsilon] L/c^2 \rightarrow$
(integrating over time and population)

$$\rho_{\text{relic}} = [(1 - \epsilon)/\epsilon] U_{\text{T}}/c^2$$

ρ_{relic} = mass density of relic BH
 U_{T} = total bolometric AGN energy density
 (i.e. obscured + unobscured)

$$\rho_{\text{measured}} \sim 4 \times 10^5 M_{\odot} \text{ Mpc}^{-3}$$

$\rho_{\text{relic}} \sim \rho_{\text{measured}} \rightarrow$
 local SMBHs appear to have formed
 through active phases across
 galaxies' lifetimes

The first black holes

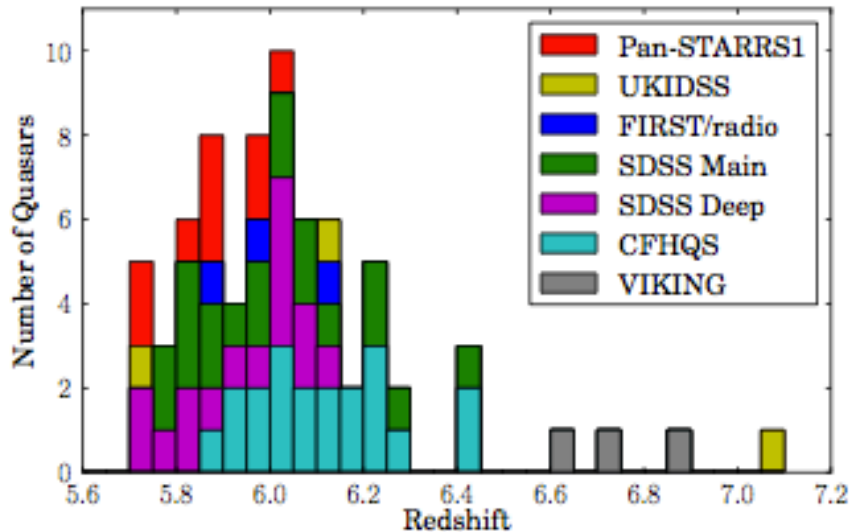
($z > 6$, $t_{\text{Universe}} < 1 \text{ Gyr}$)

Demography of high-z QSOs

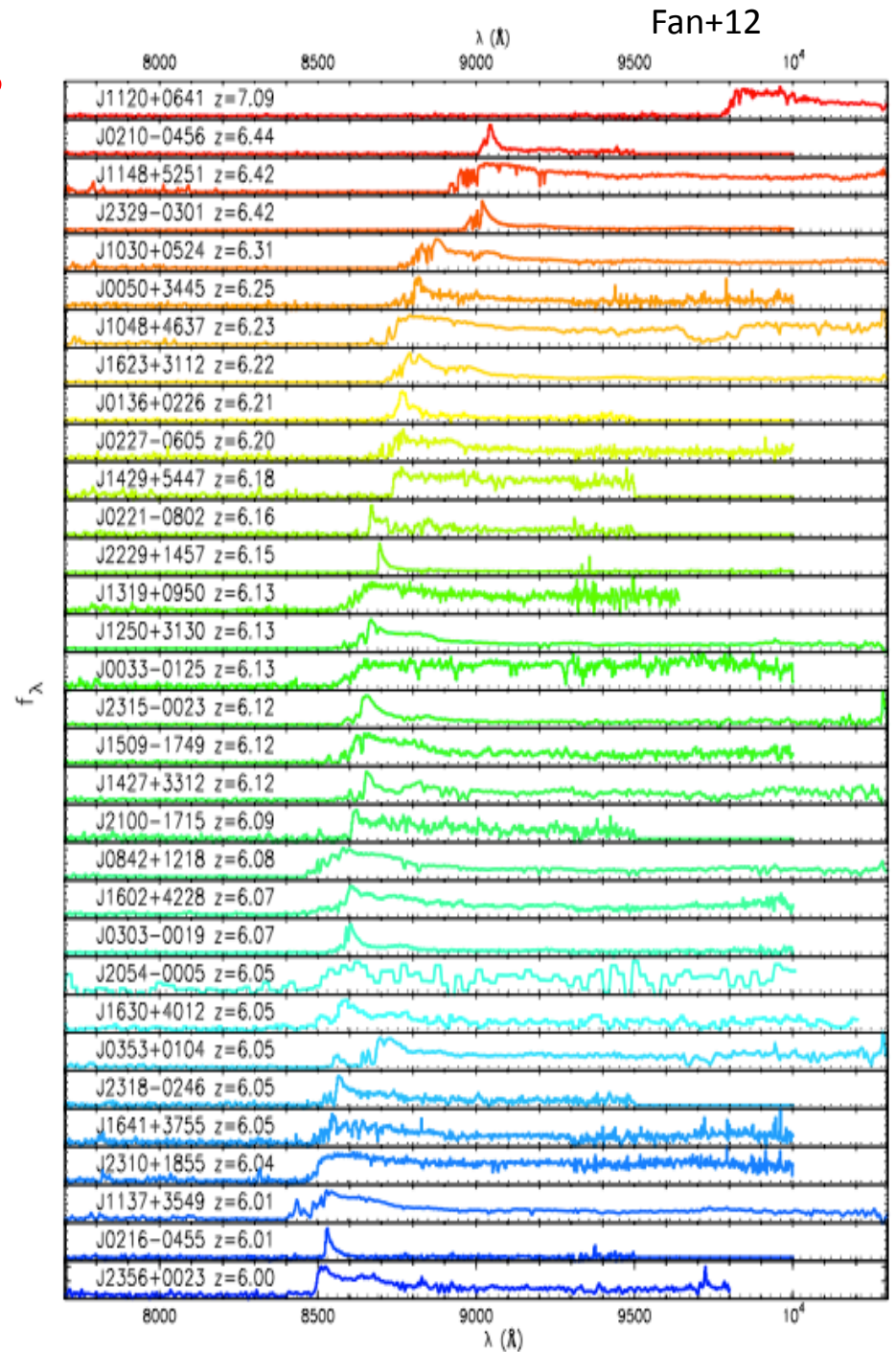
About **80 QSOs known at $z > 5.7$** from wide area optical (SDSS, CFHQS, Pan-STARRS1) and near-IR (UKIDSS, VISTA) surveys
 (Fan+00-06; Jiang+08,09; Willott+07,09,10; Banados+14, Mortlock+11; Venemans+13)

SDSS main and Pan-STARRS1 trace the most luminous QSOs: $M_{1450} \sim -27$, $L_{bol} \sim 3 \times 10^{47} L_{sun}$

SDSS-Stripe82 and CFHQS go ~ 2 mag deeper

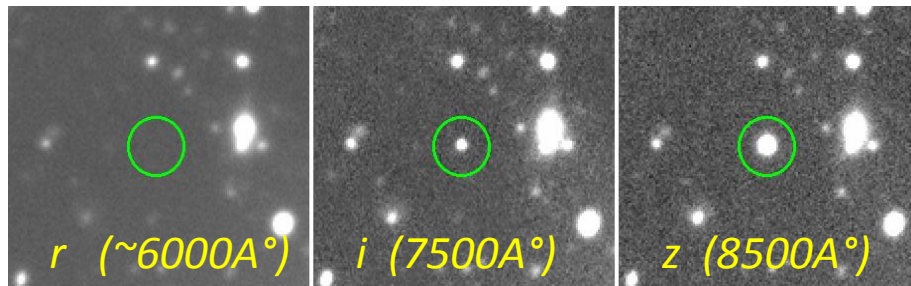


Banados+14

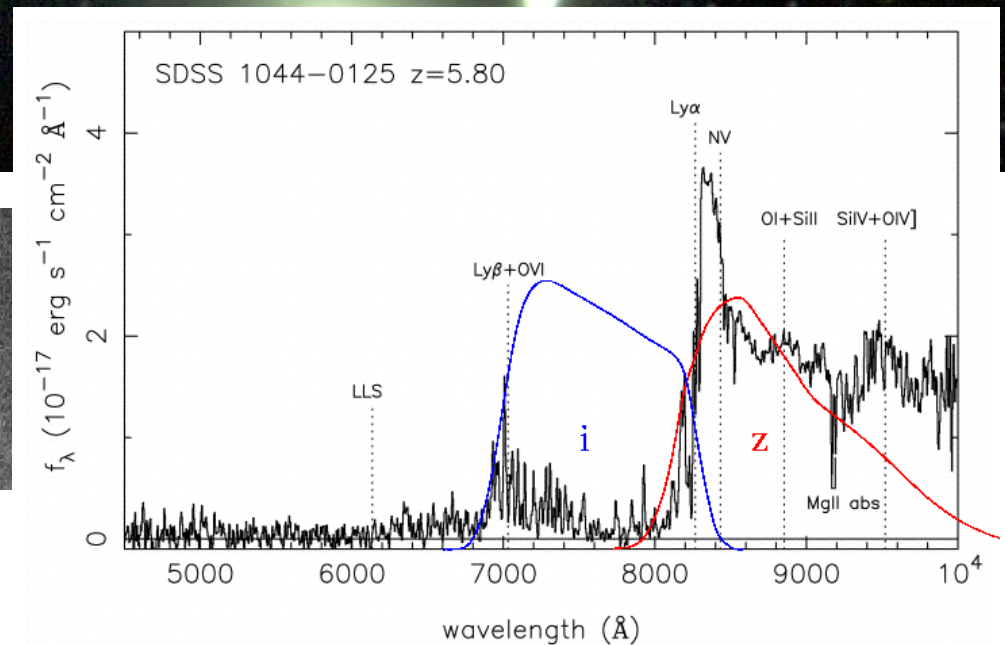


Standard selection of $z \sim 6$ QSOs: “i-band dropouts”

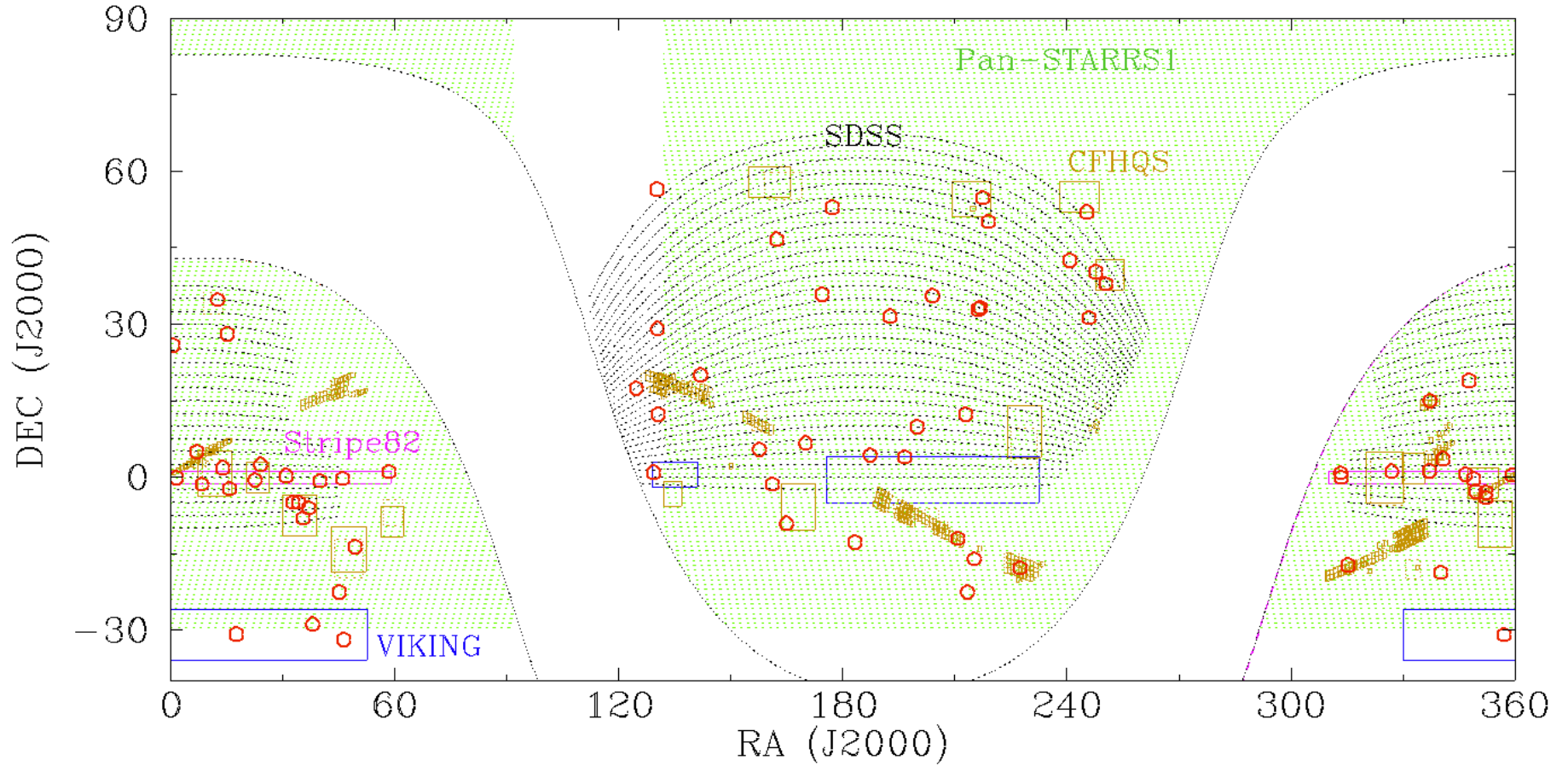
LBC/LBT color (r,i,z) image
of SDSS J1148 at $z=6.42$



Color selection: $i-z \geq 2$,
no detection blueward of i -band



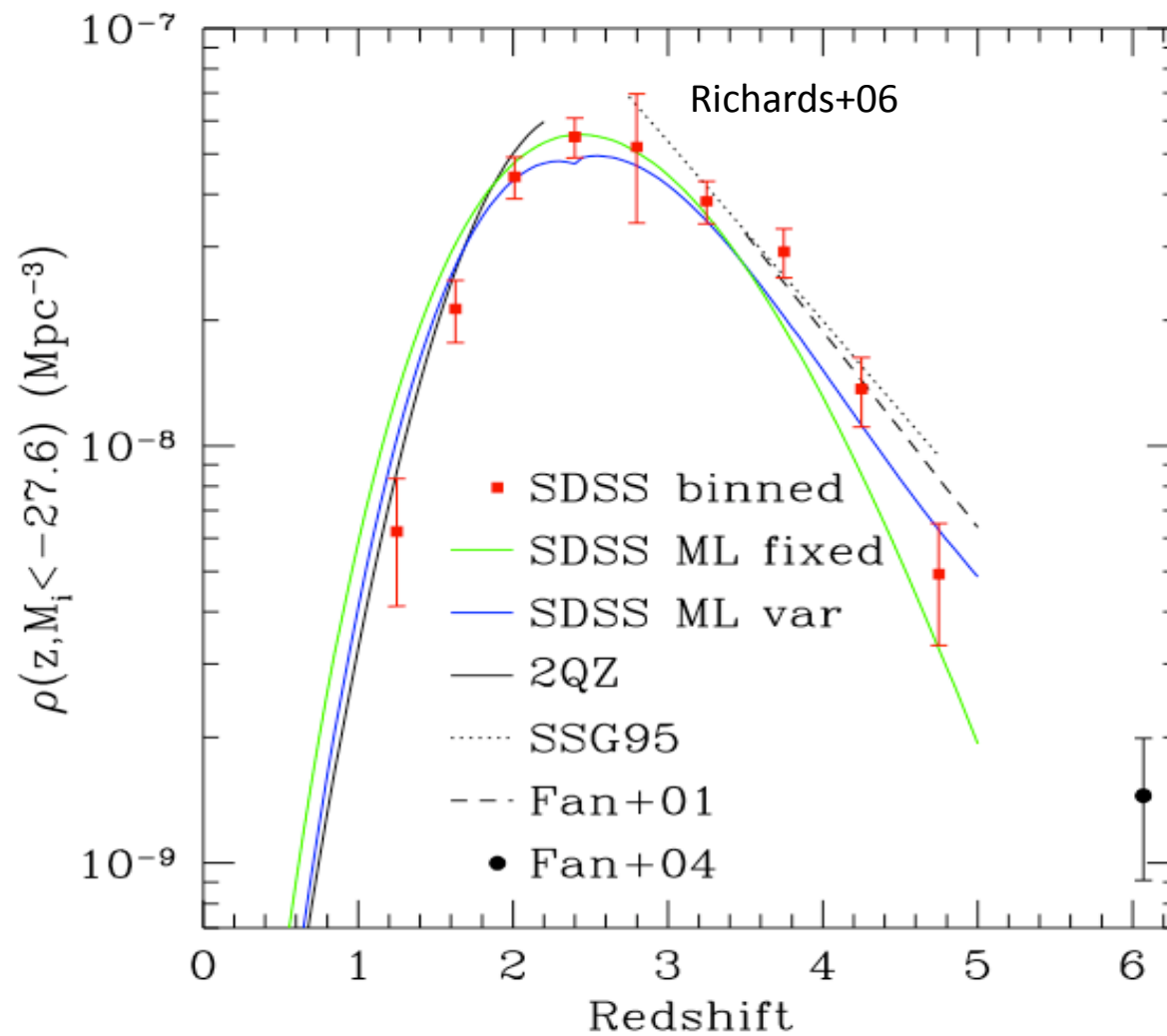
High-z QSOs are rare



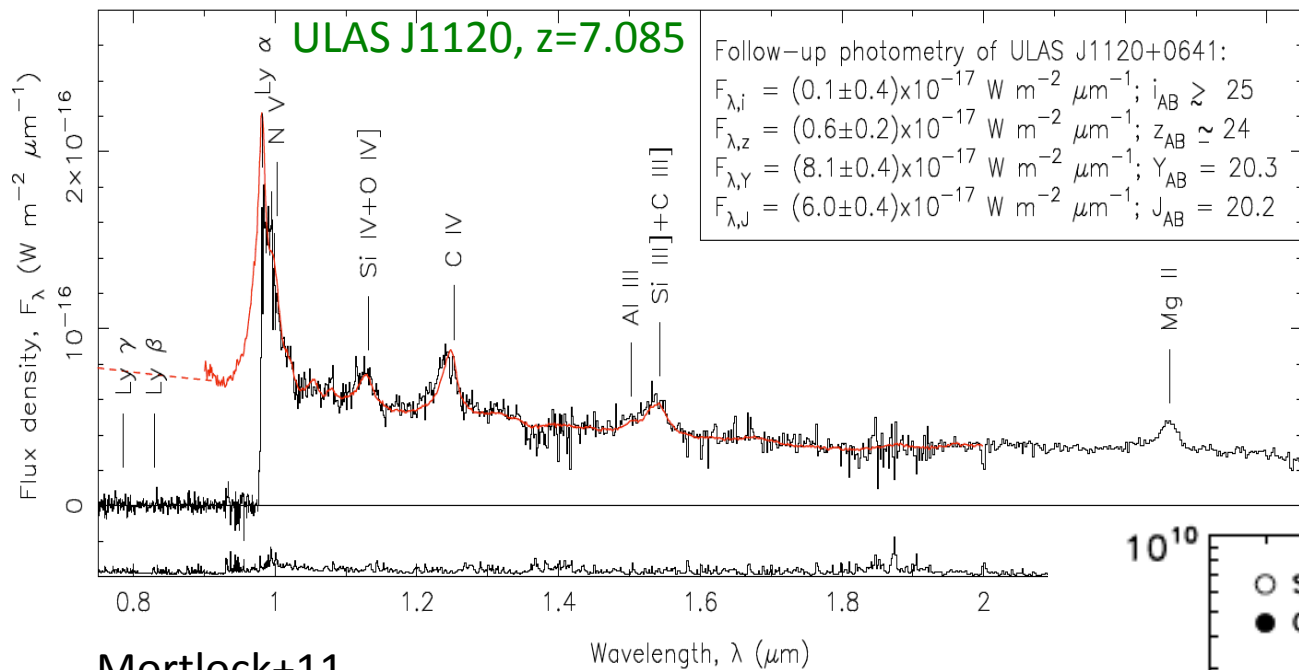
~ 1 every 500 deg² at $z_{AB} < 20$ (→ only ~80 in the whole Universe)

~ 1 every 40 deg² at $z_{AB} < 22$

High-z QSOs are rare: 1 per comoving Gpc³



High-z QSOs are similar to low-z QSOs



Follow-up photometry of ULAS J1120+0641:
 $F_{\lambda,i} = (0.1 \pm 0.4) \times 10^{-17} \text{ W m}^{-2} \mu\text{m}^{-1}$; $i_{AB} \gtrsim 25$
 $F_{\lambda,z} = (0.6 \pm 0.2) \times 10^{-17} \text{ W m}^{-2} \mu\text{m}^{-1}$; $z_{AB} \approx 24$
 $F_{\lambda,Y} = (8.1 \pm 0.4) \times 10^{-17} \text{ W m}^{-2} \mu\text{m}^{-1}$; $Y_{AB} = 20.3$
 $F_{\lambda,J} = (6.0 \pm 0.4) \times 10^{-17} \text{ W m}^{-2} \mu\text{m}^{-1}$; $J_{AB} = 20.2$

The most distant QSO known

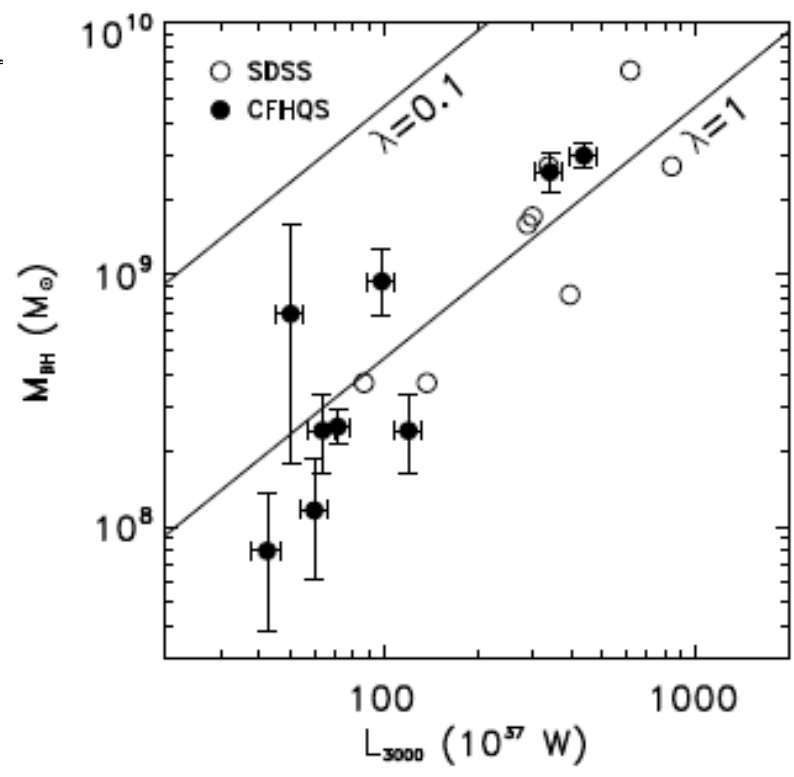
Mortlock+11

Willott+09

They are powered by massive SMBHs accreting at their Eddington limit

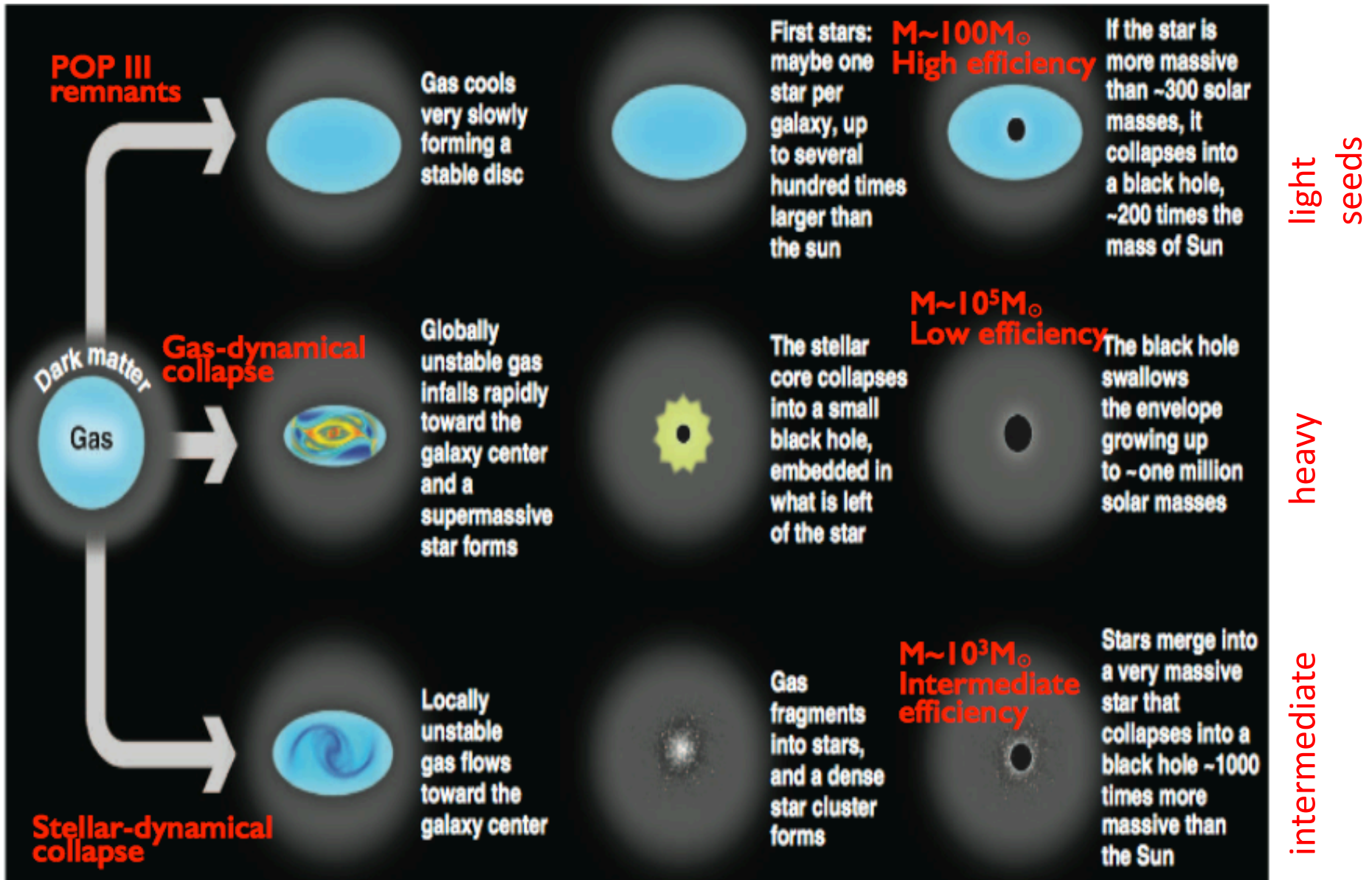
$$M_{\text{BH}} \sim 10^8 - 10^{10} M_{\text{sun}}$$

$$L \sim L_{\text{Edd}}$$

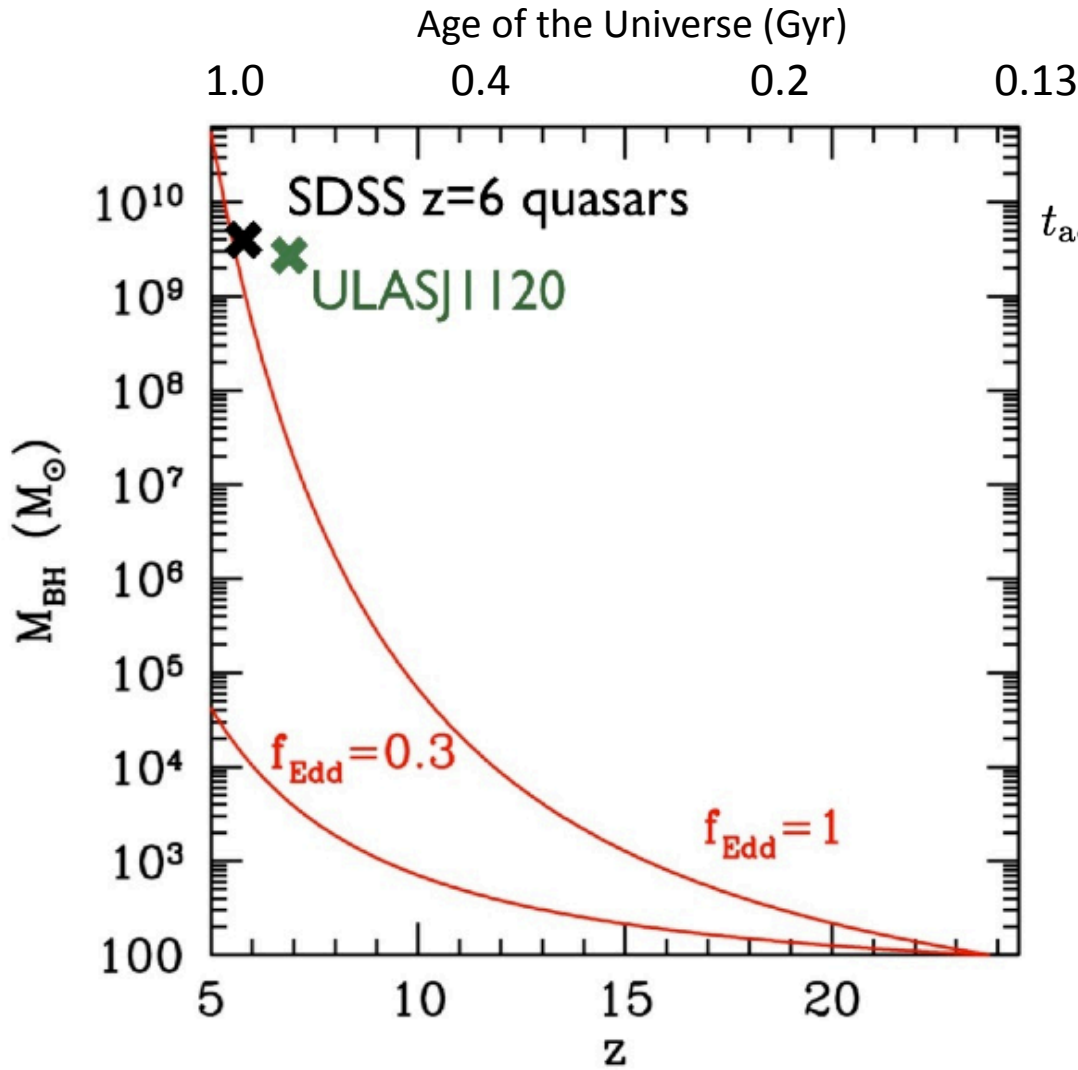


Some hypotheses on BH seeds

Volonteri 2010



How did they grow to $10^9 M_{\text{sun}}$ in less than 1 Gyr?



$$t_{\text{acc}} = 0.45 \text{ Gyr} \frac{\epsilon}{1 - \epsilon} f_{\text{Edd}}^{-1} \ln(M_{\text{fin}}/M_{\text{in}})$$

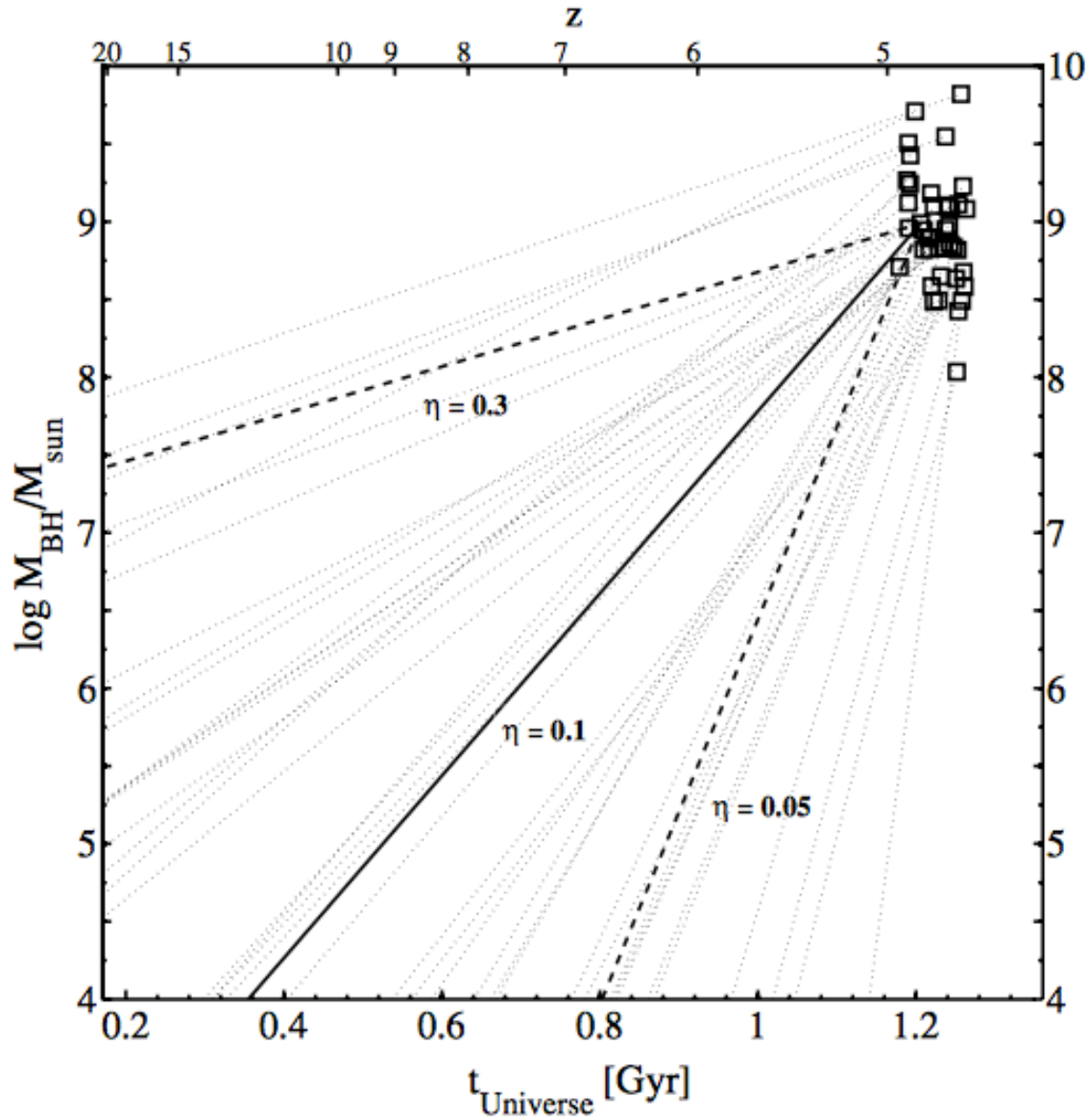
stellar (light) seeds require continuous accretion at $f_{\text{Edd}}=1$ since $z > 20$ (for $\epsilon=0.1$)



massive ($10^{4-6} M_{\text{sun}}$) seeds
direct collapse black holes?

From F. Haardt

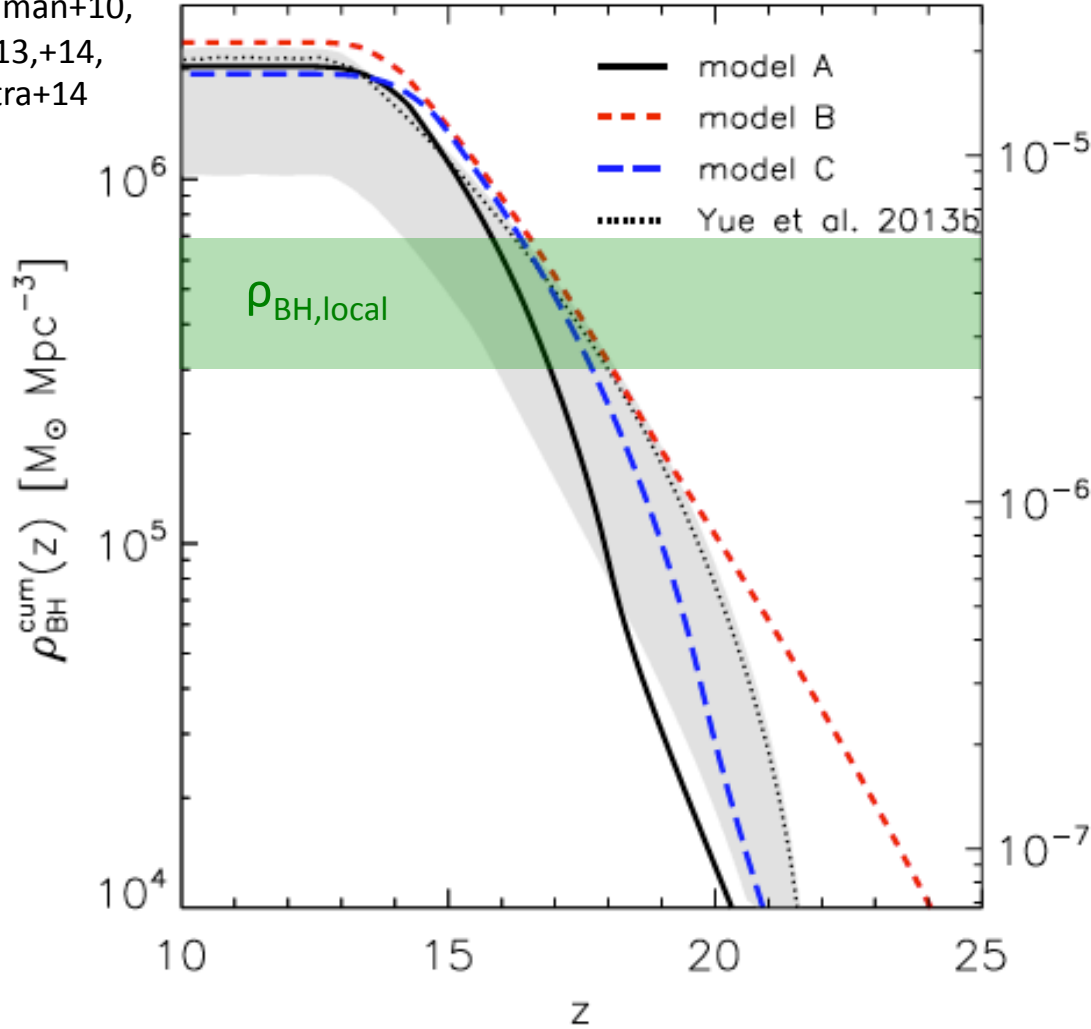
How did they grow to $10^9 M_{\text{sun}}$ in less than ~ 1 Gyr?



Heavy seeds?

Direct Collapse Black Holes (10^4 - $10^6 M_{\text{sun}}$)

Volonteri+08,
Begelman+10,
Yue+13,+14,
Dijkstra+14



$$\rho_{\text{DCBH}} > \rho_{\text{BH,local}} \rightarrow$$

>100-1000 dormant DCBHs for each local galaxy: too many?

Possible solutions?

Super-Eddington growth from $10^2 M_{\text{sun}}$ seeds (Madau+14, Volonteri & Silk 14)

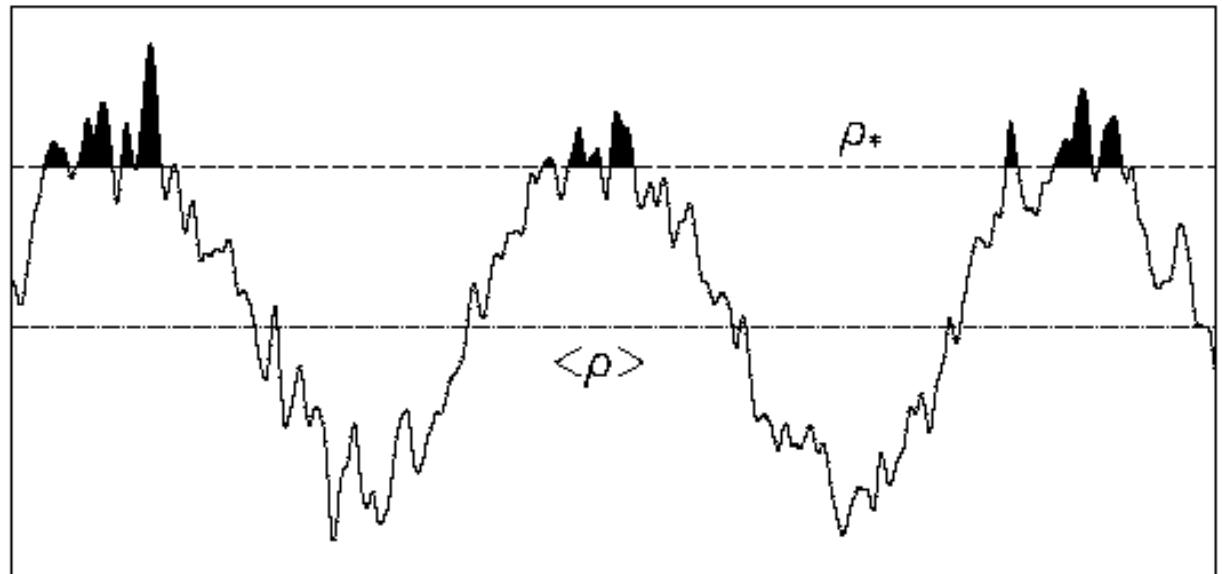
selective accretion, multi-seed populations (Schneider & Valiante)

Early accreting SMBHs are:

1) **rare**. 1 per Gpc^3 , like $10^{13} M_{\text{sun}}$ halos (for duty cycle=1)

2) **big**. $M_{\text{BH}} = 10^9 M_{\text{sun}} \rightarrow M_* = 10^{11-12} M_{\text{sun}} \rightarrow M_{\text{halo}} = 10^{12-13} M_{\text{sun}}$

are they highly clustered?

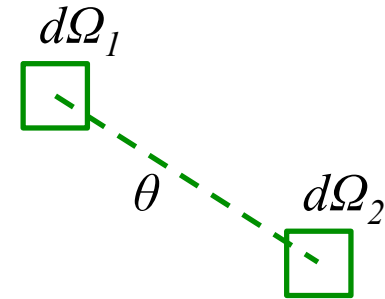


Djorgovski 2005

Correlation function

angular correlation function $w(\theta)$

$$dP = n^2 [1 + w(\theta)] d\Omega_1 d\Omega_2$$



excess probability over random of finding one galaxy within the solid angle $d\Omega_1$ and another galaxy within $d\Omega_2$ separated by an angle θ

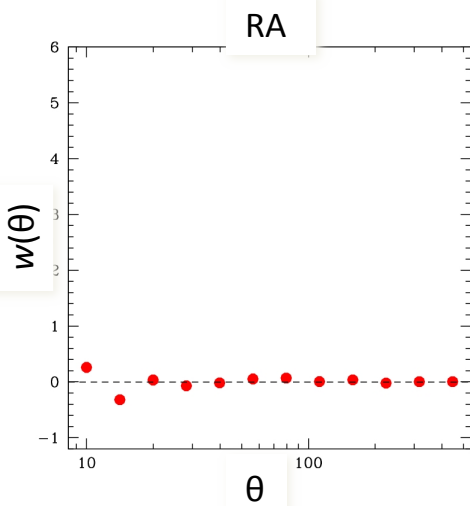
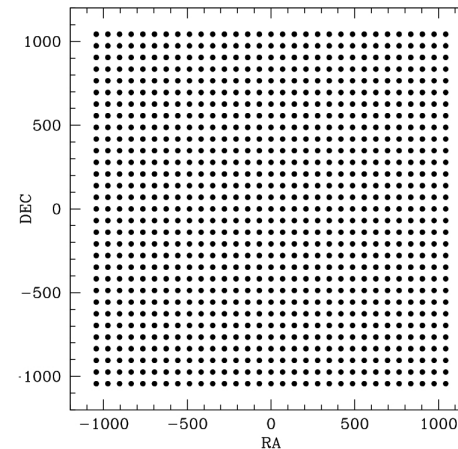
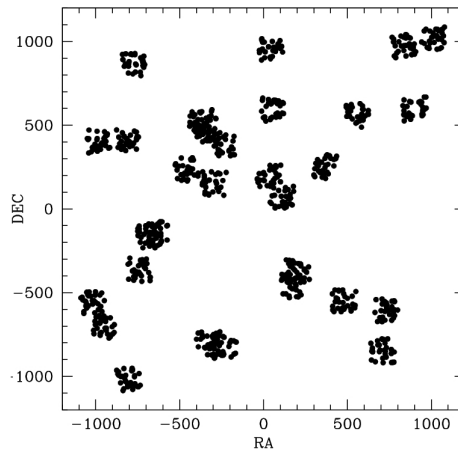
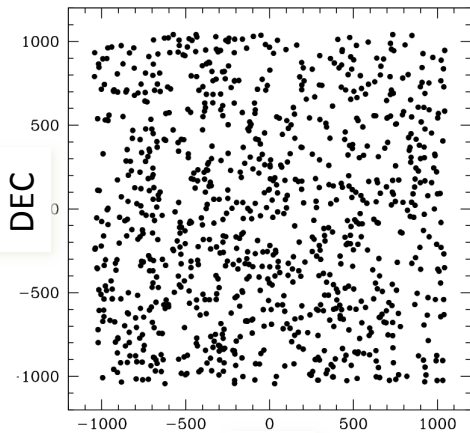
Spatial correlation function $\xi(r)$

$$dP = n^2 [1 + \xi(r)] dV_1 dV_2$$

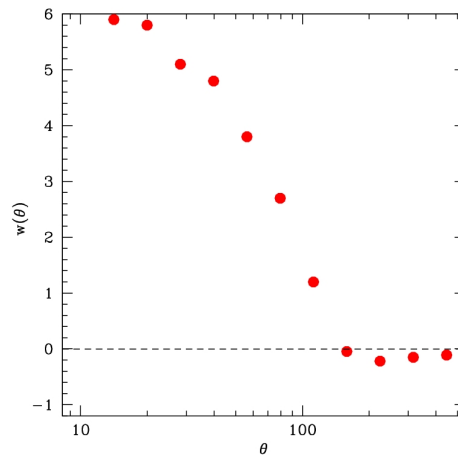
$$\xi(r) = (r/r_0)^{-\gamma}$$

Some examples

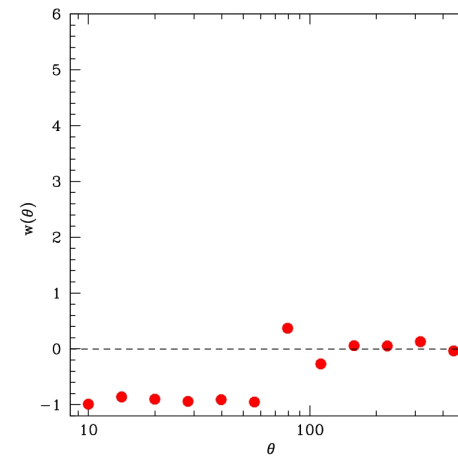
$$dP = n^2 [1 + w(\theta)] d\Omega_1 d\Omega_2$$



Random



Highly clustered



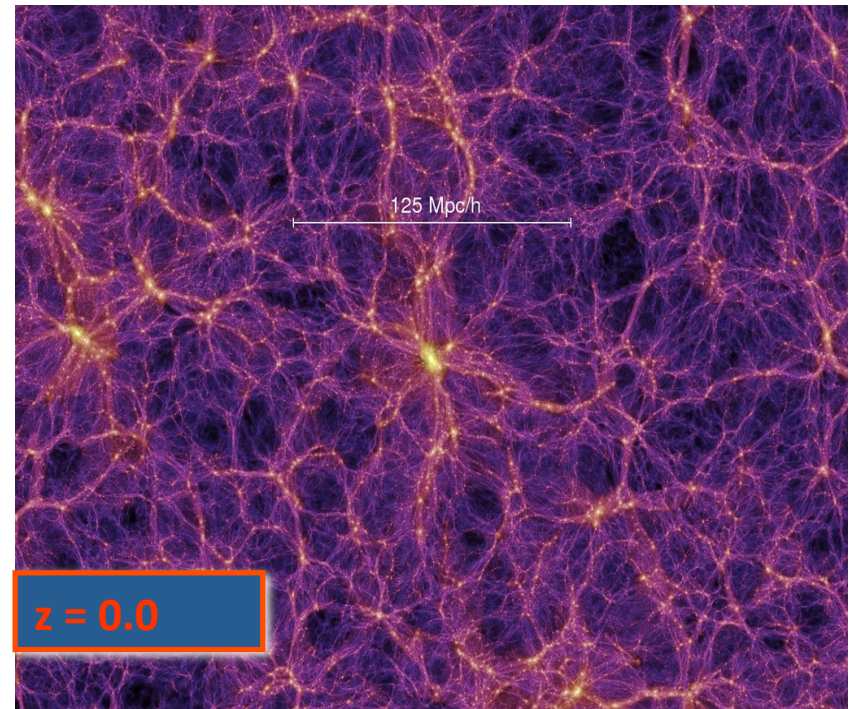
Grid

Galaxy (or AGN) bias b

$$b^2(r, z, M) = \xi_g(r, z, M) / \xi_m(r, z)$$

ξ_g = galaxy (or AGN) corr. function

ξ_m = dark matter corr. function

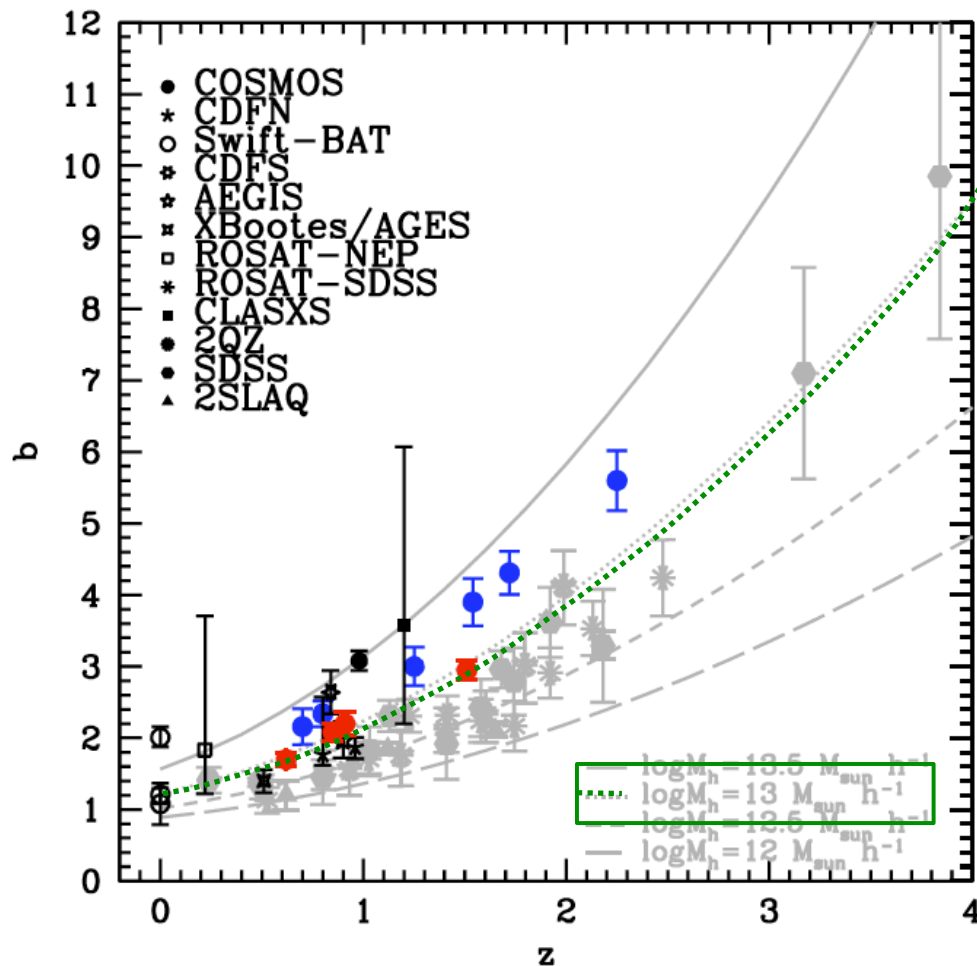


Early accreting SMBHs are:

1) **rare**. 1 per Gpc³, like $10^{13} M_{\text{sun}}$ halos (for duty cycle=1)

2) **big**. $M_{\text{BH}} = 10^9 M_{\text{sun}} \rightarrow M_* = 10^{11-12} M_{\text{sun}} \rightarrow M_{\text{halo}} = 10^{12-13} M_{\text{sun}}$

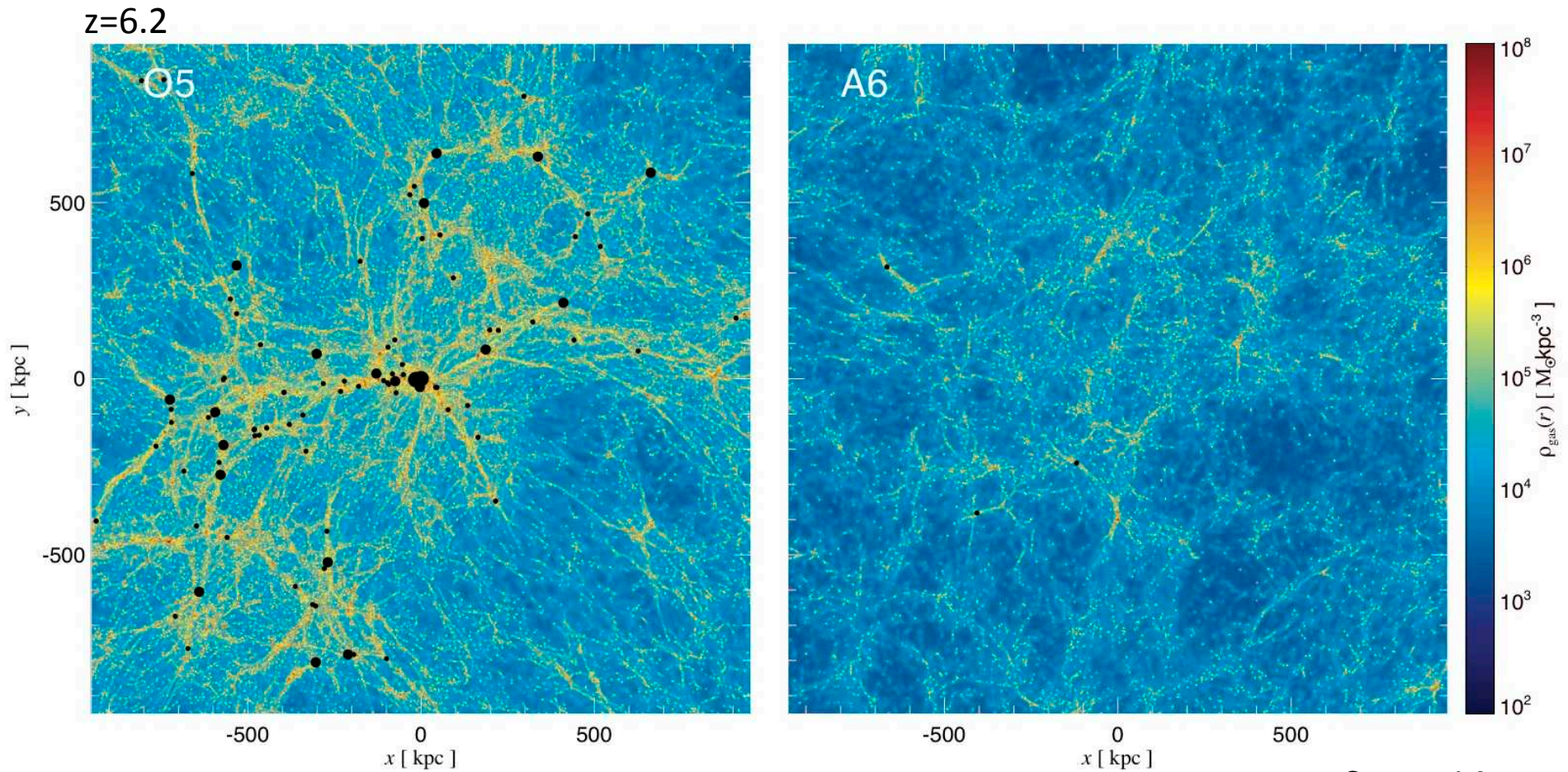
3) **likely highly biased** $\rightarrow M_{\text{halo}} \sim 10^{13} M_{\text{sun}}$ extrapolating from clustering at lower z



They likely reside in the most massive halos \rightarrow search for galaxy overdensities

Adapted from Cappelluti+12

Simulations of early BH formation



Costa+14

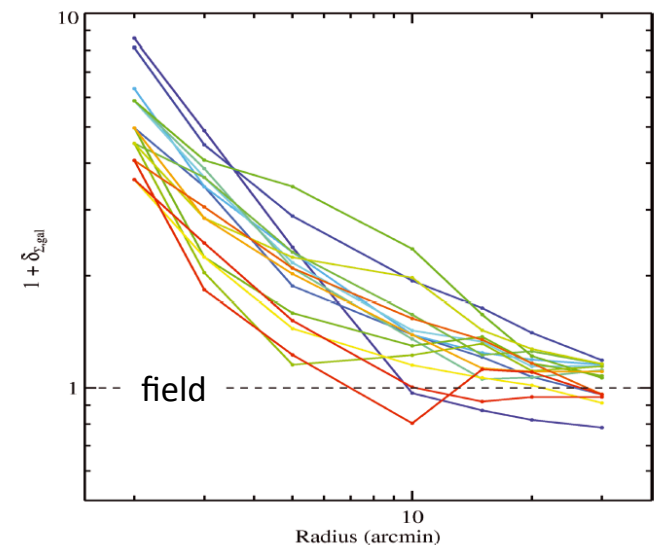
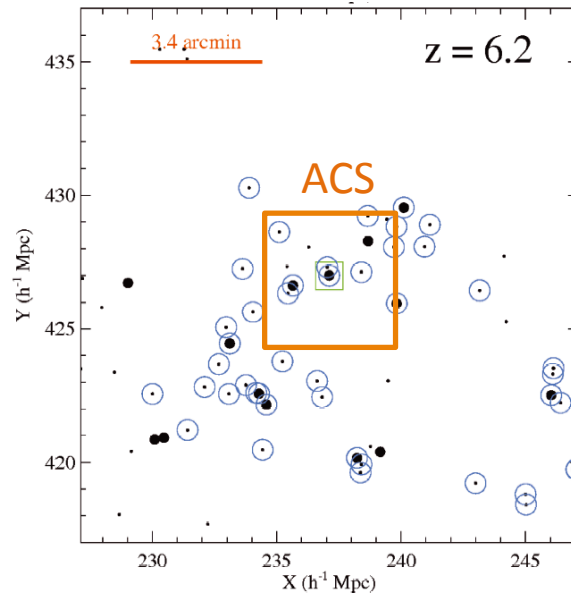
According to (most) simulations, early SMBHs can only form in overdense environments

Search for galaxy overdensities around high-z QSOs

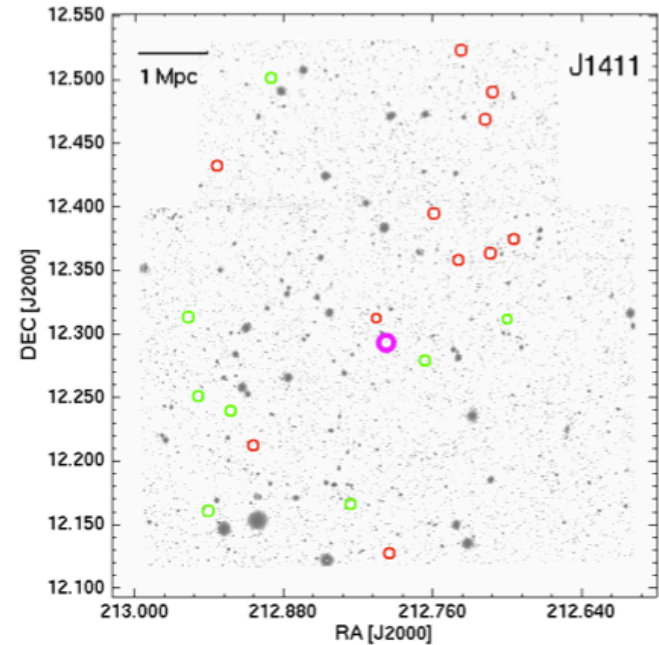
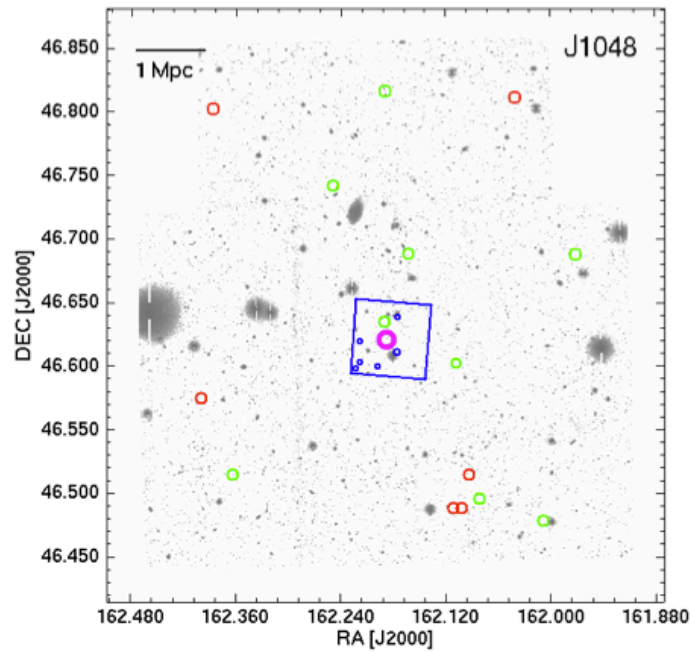
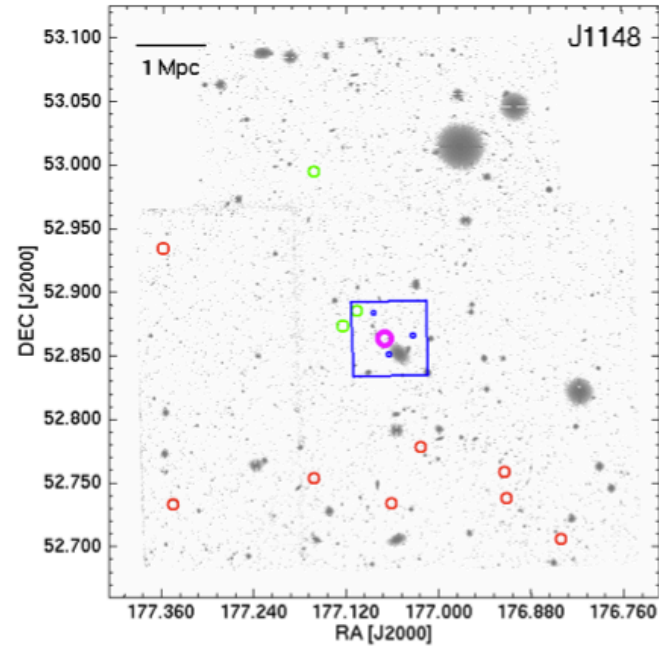
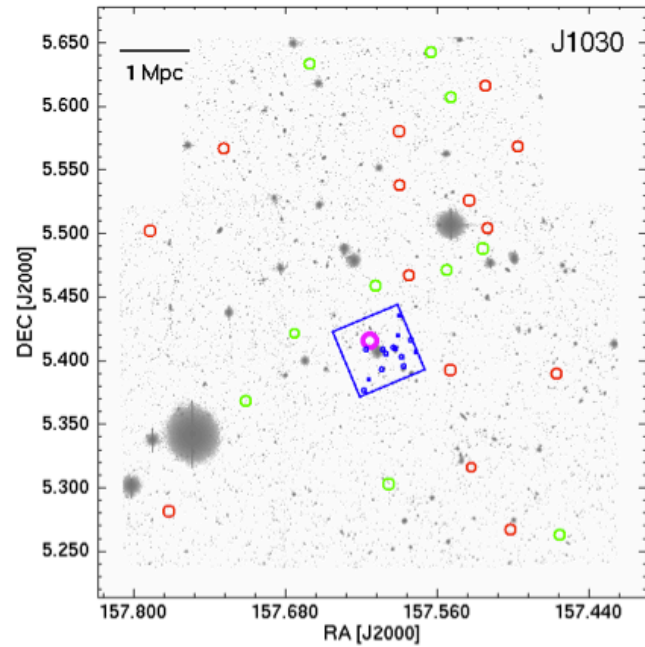
Search based on small FoV instruments inconclusive (Stiavelli+05, Kim+09, Husband+13, Banados+13, Simpson+14) e.g. ACS/HST = $3 \times 3 \text{ arcmin}^2 = 1 \times 1 \text{ Mpc}^2$ at $z=6$.

Overdensities might extend up to 30 arcmin, i.e. 10 phys. Mpc (Overzier+09). Feedback may limit galaxy formation in the QSO vicinity (e.g. Stroemgren radius $\sim 2\text{-}4 \text{ Mpc}$)

LSSs around protoclusters (Overzier+09)



use LBC@LBT: FoV $\sim 25' \times 25'$



Primary cand.

Secondary cand.

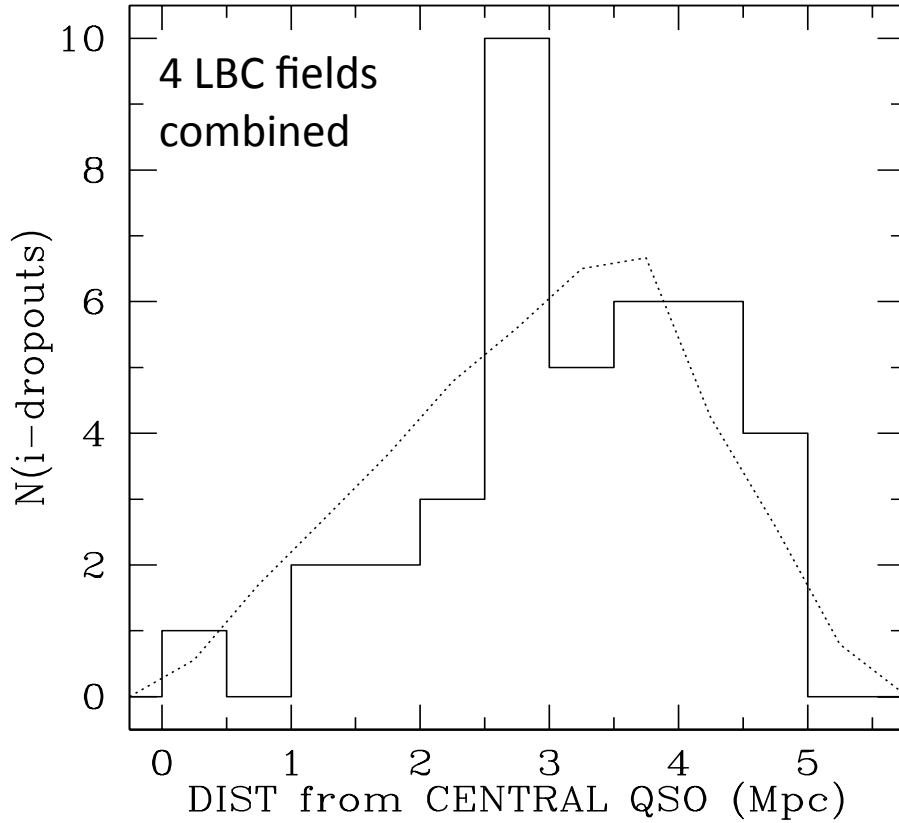
HST/ACS

QSO

Significant overdensities

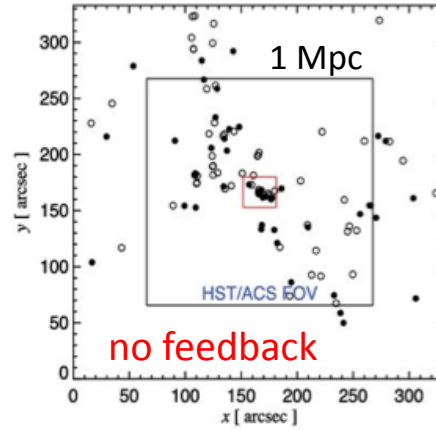
Asymmetric distribution in most fields in agreement with simulations

Reduced galaxy formation close to QSOs?

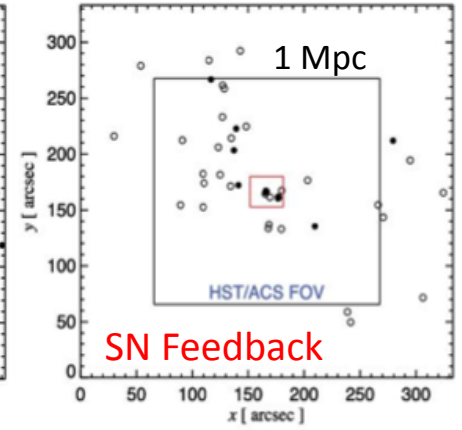


2.4 σ evidence for dropout deficit at $d < 2.5$ Mpc
 Feedback? (need more statistics)

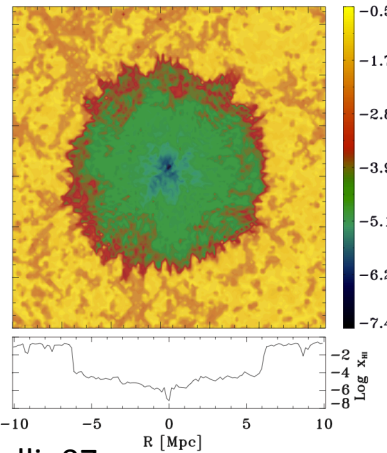
Stellar winds (e.g. from SNe)



Costa+14



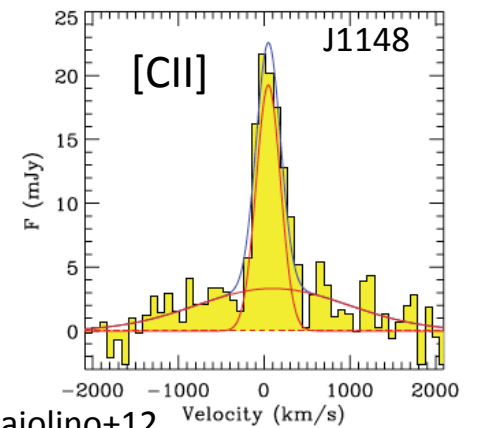
QSO radiation



Maselli+07

$R_{Strom} \sim$ a few Mpc

QSO outflows



Maiolino+12

$dM_{out}/dt > 3000 M_{sun}/yr$
 $v \sim 1300$ km/s
 $d > 1$ Mpc in 10^9 yr